# SLICELY COUNTABLY DETERMINED POINTS IN BANACH SPACES

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ABSTRACT. We introduce slicely countably determined points (SCD points) of a bounded and convex subset of a Banach space which extends the notions of denting points, strongly regular points and much more. We completely characterize SCD points in the unit balls of  $L_1$ -preduals. We study SCD points in direct sums of Banach spaces and obtain that an infinite sum of Banach spaces may have an SCD point despite the fact that none of its components have it. We then prove sufficient conditions to get that an elementary tensor  $x \otimes y$  is an SCD point in the unit ball of the projective tensor product  $X \otimes_{\pi} Y$ . Regarding Lipschitz-free spaces on complete metric spaces, we show that norm-one SCD points of their unit balls are exactly the ones that can be approximated by convex combinations of denting points of the unit ball. Finally, as applications, we prove a new inheritance result for the Daugavet property to its subspaces, we show that separable Banach spaces for which every convex series of slices intersects the unit sphere must contain an isomorphic copy of  $\ell_1$ , and we get pointwise conditions on an operator on a Banach space with the Daugavet property to satisfy the Daugavet equation.

# 1. INTRODUCTION

A well-investigated geometric property of Banach spaces is the Radon–Nikodým property (RNP for short) because it emphasizes the interplay between the topological, geometrical, and measure theoretical structures of a Banach space. The RNP is known to have plentiful equivalent characterizations [9, p. 217], e.g., a Banach space has the RNP if and only if every non-empty closed bounded convex subset is dentable.

A closely related and equally important geometric property of Banach spaces is Asplundness. A Banach space X is Asplund if and only if every continuous convex real-valued function is Fréchet differentiable on a dense set. It is important to recall that a Banach space is Asplund if and only if its dual space has the RNP.

In [4], A. Avilés, V. Kadets, M. Martín, J. Merí, and V. Shepelska introduced a (non-trivial) class of Banach spaces which contains both separable spaces with the RNP and separable Asplund spaces. Actually, the definition is given for bounded convex subsets as follows. A bounded convex subset Aof a (real or complex) Banach space X is an SCD set if there is a sequence  $\{S_n : n \in \mathbb{N}\}$  of slices of A such that  $A \subseteq \overline{\text{conv}}(B)$  whenever  $B \subseteq A$  intersects all the  $S_n$ 's [4, Definition 2.5]. Observe that every SCD set is clearly separable. It is proved in the same paper that the sequence of slices in the definition of SCD set can be replaced equivalently by a sequence of relative weakly open sets, allowing to get further examples. The space X is called *slicely countably determined* (*SCD* for short) if every bounded convex subset A of X is SCD. Examples of SCD spaces are, on the one hand, those separable spaces with the RNP (actually, separable spaces with the convex point of continuity property, aka CPCP or even strongly regular spaces) and, on the other hand, separable Asplund spaces (actually,

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separable spaces which do not contain an isomorphic copy  $\ell_1$ ) [4, Examples 3.2]. The unit ball of every Banach space with a one-unconditional basis is SCD [24, Theorem 3.1] and so is the unit ball of a locally uniformly rotund (aka LUR) separable Banach space [4, Example 2.10]. It is an open question whether every Banach space with an unconditional basis is an SCD space. On the contrary, the spaces C[0, 1] and  $L_1[0, 1]$  fail to be SCD as their unit balls fail to be SCD sets [4, Example 2.13]. The same happens for all (separable) Banach spaces with the Daugavet property. Recall that a Banach space X has the Daugavet property [26] if every rank-one bounded linear operator T on X satisfies the norm equality

$$\| \operatorname{Id} + T \| = 1 + \| T \| \tag{DE}$$

where Id is the identity operator; in this case, all compact or weakly compact operators also satisfy the same norm equality [26]. Let us comment that these positive and negative examples show that being an SCD space is a non-trivial isomorphic property which covers the RNP and Asplundness (and much more!) in the separable case being, as far as we know, the first property of this kind. SCD sets and SCD spaces have been deeply used to get interesting results on the Daugavet property, numerical index one spaces, spear operators... (see [4, 22, 24, 25], among other references).

The aim of this paper is to introduce and examine SCD points (see Definition 2.5) in order to study the SCD phenomena also in non-separable spaces. Equipped with this new pointwise view, we can even deduce new results about separable Banach spaces as well.

We present now the main contributions and the organization of the paper. Section 2 is devoted to introducing the concept of an SCD point, its equivalent formulations, first examples, and some preliminary properties, as the fact that the set of SCD points is always relatively closed and convex, and the relation between the SCD points of a set and those of its closure. In the second part of this section, we focus on SCD points of the unit ball. In particular, we prove that if the dual of a Banach space fails the (-1)-BCP, then its unit ball has no SCD points (see Theorem 2.24). This theorem is an extension of the result that unit balls of Daugavet spaces fail to have any SCD point (Example 2.22). As a consequence, we can characterize all the SCD points in an  $L_1$ -predual (see Theorem 2.25).

In Section 3, we prove some stability results of SCD points by considering direct sums of Banach spaces. In particular, we will describe SCD points in the unit ball of  $\ell_1$ -sums and  $\ell_{\infty}$ -sums of Banach spaces (see Propositions 3.3 and 3.5). Additionally, we prove a result, which guarantees the existence of SCD points in the unit ball of any infinite  $\ell_p$ -sum of Banach spaces for 1 (see Proposition 3.7). This enables us, for instance, to find a separable Banach space having only one SCD point in its unit ball.

Section 4 deals with SCD points in the unit ball of the projective tensor product of Banach spaces. We prove two sufficient conditions for an elementary tensor to be an SCD point in the unit ball (see Theorems 4.1 and 4.4), and using these results, we answer some questions in the context of SCD sets as well.

Section 5 is dedicated to the characterization of SCD points in the unit ball of Lipschitz-free spaces. In particular, it is shown that, for complete metric spaces, norm-one SCD points of the unit ball are precisely the ones that can be approximated by convex combinations of denting points of the unit ball (see Theorem 5.1). Additionally, for compact metric spaces, a similar result holds when we consider denting points instead of strongly exposed points (see Theorem 5.3). Using this method, we are able to partially describe SCD sets in Lipschitz-free spaces too.

In Section 6, we provide applications of the theory developed for SCD points. Firstly, a new inheritance result for the Daugavet property to its subspaces is proved (see Theorem 6.1). Secondly, we are able to prove that separable Banach spaces in which every convex series of slices of the unit

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ball intersects the unit sphere must contain an isomorphic copy of  $\ell_1$  (see Theorem 6.3). Finally, we also provide, using SCD points, a sufficient condition for a bounded linear operator on a Banach space with the Daugavet property to satisfy (DE) (see Theorem 6.5).

We finish this introduction with some notation. Throughout the text, we will use standard notation, e.g. as in the textbook [10]. For a given Banach space X over the field  $\mathbb{K}$  ( $\mathbb{R}$  or  $\mathbb{C}$ ), we denote respectively by  $B_X$  and  $S_X$  the closed unit ball and the unit sphere of X, and we denote by  $X^*$  the topological dual of X. Given two Banach spaces X and Y, we denote by  $\mathcal{L}(X,Y)$  the space of bounded linear operators  $T: X \to Y$ , endowed with the operator norm and we denote by  $\mathcal{B}(X \times Y)$  the space of bounded bilinear maps  $B: X \times Y \to \mathbb{K}$ , endowed with its usual norm given by

$$||B|| = \sup\{|B(x,y)| \colon x \in B_X, \ y \in B_Y\}.$$

If A is a non-empty subset of a Banach space X, we will denote respectively by conv(A),  $\overline{conv}(A)$ , and diam(A) the convex hull, the closed convex hull, and the diameter of A. The set of extreme points of A will be denoted as ext(A). By a *slice* of A we mean a non-empty intersection of A with an open half-space, which can be always written in the form

$$S(A, x^*, \alpha) := \left\{ x \in A \colon \operatorname{Re} x^*(x) > \sup_{b \in A} \operatorname{Re} x^*(b) - \alpha \right\},\$$

for suitable  $x^* \in X^*$  and  $\alpha > 0$ . A convex combination of slices (resp., convex series of slices) of A is a set of the form

$$\sum_{i=1}^{n} \lambda_i S(A, x_i^*, \alpha_i) \qquad \left( \text{resp.}, \ \sum_{i=1}^{\infty} \lambda_i S(A, x_i^*, \alpha_i) \right),$$

where  $\lambda_1, \ldots, \lambda_n \ge 0$  and  $\sum_{i=1}^n \lambda_i = 1$  (resp.,  $\lambda_i \ge 0$  and  $\sum_{i=1}^\infty \lambda_i = 1$ ).

Let  $A \subseteq X$  be convex, and bounded. A point  $x \in A$  is called a *strongly exposed point* of A, if there exists  $x^* \in X^*$  satisfying that for all sequences  $(x_n) \subset A$  with Re  $x^*(x_n) \to \sup_A \operatorname{Re} x^* = \operatorname{Re} x^*(x)$ , it follows that  $x_n \to x$ . A weaker version of a strongly exposed point is a denting point. An element  $x \in A$  is called a *denting point* of A, if for every  $\varepsilon > 0$  there exists a slice S of A such that  $x \in S$  and  $\operatorname{diam}(S) \leq \varepsilon$ . We denote respectively by str-exp(A) and  $\operatorname{dent}(A)$  the set of all strongly exposed points and the set of all denting points of A. Finally, recall that an extreme point of A is called a *preserved extreme point*, if it is an extreme point of the weak<sup>\*</sup> closure of A in  $X^{**}$ . It is well known that the open slices containing a preserved extreme point  $x_0 \in A$  form a basis of the weak topology of A at  $x_0$  [27].

Finally, let us give the notation for absolute sums of Banach spaces. If X and Y are Banach spaces and N is an absolute norm on  $\mathbb{R}^2$ , we denote by  $X \oplus_N Y$  the product space  $X \times Y$  endowed with the norm ||(x,y)|| = N(||x||, ||y||) and we call it the (N-)*absolute sum* of X and Y. When N is one of the  $\ell_p$ -norms in  $\mathbb{R}^2$  (for  $1 \leq p \leq \infty$ ) we just write  $X \oplus_p Y$ . When dealing with a sequence of spaces  $\{X_n\}_{n \in \mathbb{N}}$ , given a Banach space of sequences  $(E, |\cdot|)$ , we write

$$\left[\bigoplus_{n=1}^{\infty} X_n\right]_E$$

to denote the *E*-sum of the sequence of spaces: those  $(x_n) \in \prod_{n=1}^{\infty} X_n$  such that

$$||(x_n)||_E := |(||x_n||)| < \infty$$

In this way,  $\left(\left[\bigoplus_{n=1}^{\infty} X_n\right]_E, \|\cdot\|_E\right)$  is a Banach space. The most interesting cases for us are  $E = c_0$  and  $E = \ell_p$  with  $1 \leq p \leq \infty$ . For background on absolute norms and absolute sums, we refer the reader to [5, 17, 28, 29].

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#### 2. SLICELY COUNTABLY DETERMINED POINTS

We introduce here the main concept to deal in this manuscript: SCD points. We divide this preliminary section in two parts: first we give the definition, properties, and examples for general bounded convex sets; later, in a second subsection, we particularize the study for SCD points of the unit ball.

2.1. **Definition and first examples.** Firstly, we adapt the idea of a determining sequence of subsets to a point.

**Definition 2.1.** Let X be a Banach space and  $A \subseteq X$  bounded and convex. We say that a countable collection  $\{V_n : n \in \mathbb{N}\}$  of (non-empty) subsets of A is *determining* for a point  $a \in A$  if  $a \in \overline{\text{conv}}(B)$  whenever  $B \subseteq A$  intersecting all the sets  $V_n$ .

**Remark 2.2.** We can assume the set B in Definition 2.1 to be convex. Indeed, assume that we have  $\{V_n : n \in \mathbb{N}\}$  such that for every convex subset  $C \subseteq A$ , satisfying  $C \cap V_n \neq \emptyset$  for every  $n \in \mathbb{N}$ , we have  $a \in \overline{\operatorname{conv}}(C) = \overline{C}$ . Let  $B \subseteq A$  be a set satisfying  $B \cap V_n \neq \emptyset$  for every  $n \in \mathbb{N}$ . Then  $\operatorname{conv}(B) \subseteq A$  and  $\operatorname{conv}(B)$  also intersects every  $V_n$ , hence  $a \in \overline{\operatorname{conv}}(\operatorname{conv}(B)) = \overline{\operatorname{conv}}(B)$ , meaning that the original condition also holds. The converse implication is evident.

First we give some equivalent conditions for a sequence of subsets to be determining for a point. These characterizations will be used throughout the paper. Note that this discussion is similar to the one given in [4] for sequences determining sets, see [4, Definition 2.1 and Proposition 2.2].

**Proposition 2.3.** Let X be a Banach spaces, let  $A \subseteq X$  be bounded and convex, and  $a \in A$ . For a sequence  $\{V_n : n \in \mathbb{N}\}$  of subsets of A, the following conditions are equivalent:

- (i)  $\{V_n : n \in \mathbb{N}\}$  is determining for a;
- (ii) for every slice S of A with  $a \in S$ , there is  $m \in \mathbb{N}$  such that  $V_m \subseteq S$ ;
- (iii) if  $x_n \in V_n$  for every  $n \in \mathbb{N}$ , then  $a \in \overline{\operatorname{conv}}(\{x_n : n \in \mathbb{N}\})$ .

*Proof.* (i)  $\Rightarrow$  (ii). Let S be a slice of A with  $a \in S$ . Assume on the contrary that  $V_n \not\subseteq S$  for every  $n \in \mathbb{N}$ . Therefore,  $(A \setminus S) \cap V_n \neq \emptyset$  for all  $n \in \mathbb{N}$ . By (i)  $a \in \overline{\operatorname{conv}}(A \setminus S) = A \setminus S$ , a contradiction.

(ii)  $\Rightarrow$  (iii). Let us prove the contrapositive. Assume that for every  $n \in \mathbb{N}$  there exists  $x_n \in V_n$  such that  $a \notin C := \overline{\operatorname{conv}}(\{x_n : n \in \mathbb{N}\})$ . By Hahn-Banach separation theorem, there exists a functional  $x^* \in S_{X^*}$  such that

$$\operatorname{Re} x^*(a) > \sup_{c \in C} \operatorname{Re} x^*(c).$$

Let  $\alpha := \sup_{b \in A} \operatorname{Re} x^*(b) - \sup_{c \in C} \operatorname{Re} x^*(c) > 0$ . Then,  $a \in S(A, x^*, \alpha)$ , but for arbitrary  $n \in \mathbb{N}$  we get  $V_n \not\subseteq S(A, x^*, \alpha)$ , because  $x_n \in V_n$  and

$$\operatorname{Re} x^*(x_n) \leqslant \sup_{c \in C} \operatorname{Re} x^*(c) = \sup_{b \in A} \operatorname{Re} x^*(b) - \alpha,$$

hence  $x_n \notin S(A, x^*, \alpha)$ .

(iii)  $\Rightarrow$  (i). Immediate.

The following observation is clear.

**Remark 2.4.** Let X be a Banach spaces, let  $A \subseteq X$  be bounded and convex, and  $a \in A$ . If  $\{V_n : n \in \mathbb{N}\}$  is determining for a, then so is every countable collection  $\{W_n : n \in \mathbb{N}\}$  such that  $W_n \subset V_n$  for every  $n \in \mathbb{N}$ .

We can now give the main definition of the paper.

**Definition 2.5.** Let X be a Banach space and assume that  $A \subseteq X$  is bounded and convex. A point  $a \in A$  is called a *slicely countably determined point of* A (an *SCD point of* A for short), if there exists a determining sequence of slices of A for the point a. We denote the set of all SCD points of A by SCD(A).

The following easy lemmata on the set of SCD points of a given bounded convex set will be very useful later on and will provide the first examples. The first result shows properties of the set SCD(A) for a given set A.

**Lemma 2.6.** Let X be a Banach space and assume that  $A \subseteq X$  is bounded and convex. Then, SCD(A) is convex and closed (relative to A). Moreover, if A is balanced, then so is SCD(A).

*Proof.* Firstly, it is easy to see that SCD(A) is a (relative) closed set. Indeed, suppose that  $(a_n) \subset SCD(A)$  and  $\lim_n a_n = a \in A$ . For every  $n \in \mathbb{N}$ , there is a countable family  $\{S_{n,m} : m \in \mathbb{N}\}$  which is determining for  $a_n$ . Now, the countable family

$$\{S_{n,m} \colon m \in \mathbb{N}, \ n \in \mathbb{N}\}$$

is determining for a: given a slice S of A containing a, as S is relatively open in A, then  $a_n \in S$  for some  $n \in \mathbb{N}$  and, as  $a_n \in \text{SCD}(A)$ , there is  $m \in \mathbb{N}$  such that  $S_{n,m} \subset S$ ; hence,  $a \in \text{SCD}(A)$ .

For the convexity, fix  $a, b \in \text{SCD}(A)$  and  $\lambda \in (0, 1)$ . Let us show that  $\lambda a + (1 - \lambda)b \in \text{SCD}(A)$ . By assumption there exist two determining sequences of slices for a and for b. Consider the union of these sequences. If we have  $B \subseteq A$  such that B intersects all slices in the union, we have  $a \in \overline{\text{conv}}(B)$  and  $b \in \overline{\text{conv}}(B)$ . It is clear that in this case  $\lambda a + (1 - \lambda)b \in \overline{\text{conv}}(B)$ . We have shown that SCD(A) is convex.

Assume finally that A is balanced. We aim to show that SCD(A) is also balanced. By the convexity of A, it suffices to show that for every  $a \in SCD(A)$  and  $|\lambda| = 1$  one has that  $\lambda a \in SCD(A)$ . Since  $a \in SCD(A)$ , there is a determining sequence of slices  $S_n := S(A, x_n^*, \alpha_n)$  for a. It is routine to show that  $T_n := S(A, \overline{\lambda}x_n^*, \alpha_n)$  is a determining sequence of slices for  $\lambda a$ .

The next lemma allows us to deal in some cases just with closed sets.

**Lemma 2.7.** Let X be a Banach space and let  $A \subset X$  be convex and bounded. Then,

 $\operatorname{SCD}(\overline{A}) \cap A = \operatorname{SCD}(A).$ 

Proof. Suppose that  $a \in A \cap \text{SCD}(\overline{A})$ . Then, there is a sequence of slices  $\{S_n\}$  of  $\overline{A}$  which is determining for a in  $\overline{A}$ . Define  $T_n = S_n \cap A$  for every  $n \in \mathbb{N}$ , which are (non-empty) slices of A, and let us prove that  $\{T_n\}$  is determining for a in A. Indeed, let  $B \subset A$  such that  $B \cap T_n \neq \emptyset$  for every  $n \in \mathbb{N}$ . Then,  $B \subset \overline{A}$  clearly satisfies that  $B \cap S_n \neq \emptyset$  for every  $n \in \mathbb{N}$ , hence  $a \in \overline{\text{conv}}(B)$ , giving that  $\{T_n\}$  is determining.

Conversely, suppose that  $a \in \text{SCD}(A)$  and let us show that  $a \in \text{SCD}(\overline{A})$ . Let  $S_n := S(A, x_n^*, \alpha_n)$ with  $x_n^* \in X^*$ ,  $\alpha_n > 0$  for every  $n \in \mathbb{N}$  be a determining sequence for a in A. Define for each  $n \in \mathbb{N}$ the slice

$$T_n = S(\overline{A}, x_n^*, \alpha_n)$$

which are slices of  $\overline{A}$ . Let now  $B \subset \overline{A}$  satisfies that  $B \cap T_n \neq \emptyset$  for every  $n \in \mathbb{N}$ . Taking into account that  $\sup_A \operatorname{Re} x_n^* = \sup_{\overline{A}} \operatorname{Re} x_n^*$  and that the slices are open, it follows that

$$\left[A \cap \left(B + \frac{1}{m}B_X\right)\right] \cap S_n \neq \emptyset$$

for every  $m \in \mathbb{N}$ . We get that  $a \in \overline{\operatorname{conv}}(B + \frac{1}{m}B_X)$  for every  $m \in \mathbb{N}$ , hence  $a \in \overline{\operatorname{conv}}(B)$ .

The next lemma describes a precise connection between SCD sets and SCD points, and confirms that Definition 2.5 is indeed a natural extension.

**Lemma 2.8.** Let X be a Banach space and assume that  $A \subseteq X$  is bounded and convex. Then, the following statements hold:

- (1) If A is an SCD set, then every  $a \in A$  is an SCD point.
- (2) If there is a countable dense subset of A consisting of SCD points of A, then A is SCD.
- (3) In particular, if every  $a \in A$  is an SCD point and A is separable, then A is an SCD set.

Proof. (1) is clear by the corresponding definitions. Let us prove (2). We need to find a determining sequence of slices for A. By assumption we have a countable set  $\{x_n : n \in \mathbb{N}\} \subset \text{SCD}(A)$ , which is dense in A. Therefore, for every  $x_n$  we have a determining sequence of slices  $\{S_n^m : m \in \mathbb{N}\}$ . Let us now consider the countable collection of slices  $\{S_n^m : n, m \in \mathbb{N}\}$ . To show that this collection is determining for A, we take an arbitrary slice S of A. Since the set  $\{x_n : n \in \mathbb{N}\}$  is dense, there exists  $n \in \mathbb{N}$  such that  $x_n \in S$  and then, by assumption, there also exists  $m \in \mathbb{N}$  so that  $S_n^m \subseteq S$ .

As a consequence, we get some more families of examples of SCD points by just using [4, Examples 3.2] and Lemma 2.7.

**Example 2.9.** The following Banach spaces satisfy that SCD(A) = A for every convex bounded subset A:

- (a) separable Banach spaces with the RNP (or even with the CPCP, or strongly regular);
- (b) separable Asplund spaces (or even separable spaces which do not contain copies of  $\ell_1$ ).

On the other hand, it is immediate from the definition that denting points are SCD points, regardless of the separability or not of the set. Actually, a slightly more general definition than denting point also implies to be SCD point. Let X be a Banach space and let A be a bounded convex subset of X. An element  $a \in A$  is said to be a *quasi-denting* point of A [23] if for every  $\varepsilon > 0$  there is a slice of A contained in  $a + \varepsilon B_X$ . In particular, every denting point is quasi denting.

**Example 2.10.** Let X be a Banach space, let A be a bounded convex subset of X. Then, every quasi-denting point of A is SCD; in particular, dent(A)  $\subseteq$  SCD(A). Indeed, if  $a \in A$  is quasi-denting, then there is a sequence  $\{S_n : n \in \mathbb{N}\}$  of slices of A such that  $S_n \subset (a + \frac{1}{n}B_X) \cap A$ . If S is a slice of A containing a, then S is relatively open in A and contains a, hence it has to contain  $(a + \frac{1}{n}B_X) \cap A$  for some  $n \in \mathbb{N}$ . A fortiori, S contains  $S_n$ .

As a consequence of the above example, Lemma 2.6, and Lemma 2.7, we get the following extension of Example 2.9.

**Example 2.11.** Let X be a Banach space and let A be bounded convex subset of X such that  $A \subset \overline{\text{conv}}(\operatorname{dent}(\overline{A}))$ . Then,  $\operatorname{SCD}(A) = A$ . In particular, if X has the RNP, then  $\operatorname{SCD}(A) = A$  for every bounded convex subset A of X.

One might wonder whether in Condition (ii) of Proposition 2.3, the determined point has to belong to all the sets determining it or, at least, to be close to one of them. The following example shows that each member of the determining sequence of slices can be far from the determined point. We need some notation. A point  $x \in S_X$  of a Banach space X is said to be a *Daugavet point* [1] if for every slice S of  $B_X$  and for every  $\varepsilon > 0$ , there exists  $y \in S$  such that  $||x - y|| \ge 2 - \varepsilon$ . Moreover, a Banach space X has the Daugavet property if and only if every  $x \in S_X$  is a Daugavet point (this is already contained in [26, Lemma 2.2], see [1, Proposition 1.2]). **Example 2.12.** Let X be a separable Banach space with the RNP and containing a Daugavet point  $x_0 \in S_X$  (a space like this is constructed in [35, Example 3.1]). Then, for every  $\varepsilon > 0$ , there exists a sequence of slices  $\{S_n : n \in \mathbb{N}\} \subseteq B_X$  which is determining for  $x_0$  such that  $d(x_0, S_n) > 2 - \varepsilon$  for every  $n \in \mathbb{N}$ .

Proof. Fix  $\varepsilon > 0$ . We have, by Lemma 2.8 or Example 2.11, a determining sequence of slices  $\{T_n : n \in \mathbb{N}\}$  for  $x_0$ . Since  $B_X = \overline{\operatorname{conv}}(\operatorname{dent}(B_X))$ , for every  $n \in \mathbb{N}$  there exists  $x_n \in T_n \cap \operatorname{dent}(B_X)$ . This enables us to find a slice  $S_n$  such that  $x_n \in S_n \subseteq T_n$  and  $\operatorname{diam}(S_n) < \varepsilon/2$  for every  $n \in \mathbb{N}$ . Observe that in this case the slices  $\{S_n : n \in \mathbb{N}\}$  also determine the point  $x_0$  (use Remark 2.4), and since  $x_0$  is a Daugavet point, we have  $||x_0 - x_n|| = 2$  for every  $n \in \mathbb{N}$  by [20, Proposition 3.1]. In conclusion

$$d(x_0, S_n) \ge ||x_0 - x_n|| - \operatorname{diam}(S_n) \ge 2 - \frac{\varepsilon}{2} > 2 - \varepsilon.$$

Our next aim is to prove that in the definition of a slicely countably determined point, one can equivalently replace slices with non-empty relatively weakly open sets or with convex combinations of slices.

**Proposition 2.13.** Let X be a Banach space and assume that  $A \subseteq X$  is bounded and convex. Then, the following conditions are equivalent:

- (i)  $a \in SCD(A)$ ;
- (ii) there exists a sequence of relatively weakly open sets which is determining for a;
- (iii) there exists a sequence of convex combinations of slices which is determining for a.

*Proof.* Firstly, note that (i)  $\Rightarrow$  (ii) and (i)  $\Rightarrow$  (iii) are obvious.

(ii)  $\Rightarrow$  (iii). Assume that there exists determining sequence of relatively weakly open sets sets  $\{W_n : n \in \mathbb{N}\}\$  for the point *a*. By Bourgain's lemma [14, Lemma II.1], every  $W_n$  contains a convex combination of slices  $C_n$  of *A*. But then the sequence  $\{C_n : n \in \mathbb{N}\}\$  is determining for *a* (just use Remark 2.4).

(iii)  $\Rightarrow$  (i). Assume that there exists a sequence of convex combinations of slices  $\{C_n : n \in \mathbb{N}\}$ , which is determining for point a. For every  $n \in \mathbb{N}$ , we have

$$C_n = \sum_{i=1}^{k_n} \lambda_i^n S_i^n, \quad \sum_{i=1}^{k_n} \lambda_i^n = 1, \quad k_n \in \mathbb{N},$$
(2.1)

where  $S_i^n$ ,  $i \in \{1, \ldots, k_n\}$  are slices of A. Let us show that the countable family  $\{S_i^n : n \in \mathbb{N}, i \in \{1, \ldots, k_n\}\}$  is determining for a. For that, let  $B \subseteq A$  be convex such that  $B \cap S_i^n \neq \emptyset$  for every  $n \in \mathbb{N}$  and every  $i \in \{1, \ldots, k_n\}$ . Hence there exists  $b_i^n \in B \cap S_i^n$ , from which we can construct another set

$$\widehat{B} := \operatorname{conv}\{b_i^n \colon n \in \mathbb{N}, \ i \in \{1, \dots, k_n\}\} \subseteq B \subseteq A.$$

Let see that  $\widehat{B} \cap C_n \neq \emptyset$  for every  $n \in \mathbb{N}$ . Indeed, by fixing  $n \in \mathbb{N}$  arbitrarily, and using the fact that  $b_i^n \in S_i^n$ , we get

$$\sum_{i=1}^{k_n} \lambda_i^n b_i^n \in \sum_{i=1}^{k_n} \lambda_i^n S_i^n = C_n$$

and, since  $\widehat{B}$  is convex, we have  $\sum_{i=1}^{k_n} \lambda_i^n b_i^n \in \widehat{B}$ . As  $\{C_n \colon n \in \mathbb{N}\}$  is determining for  $a, a \in \overline{\operatorname{conv}}(\widehat{B}) \subseteq \overline{\operatorname{conv}}(B)$ .

**Remark 2.14.** The claim in Remark 2.2 holds also for determining sequences of relatively weakly open sets and convex combinations of slices sets, meaning we can assume convexity of the set B in the definition of a determining sequence.

**Remark 2.15.** With absolutely the same proof of (iii)  $\Rightarrow$  (i) in Proposition 2.13, one may show that  $a \in \text{SCD}(A)$  if there is a determining sequence of convex series of slices. Anyhow, we have not encounter any advantage in working with determining sequences of convex series of slices than working with determining sequences of convex series of slices.

We may now get advantage of Proposition 2.13 to get more families of SCD points. Recall that a point a of a closed convex bounded set A is called a *strongly regular point* of A if there exist convex combinations of slices of A of arbitrarily small diameter whose closures contain a [32, Remark in p. 29]. We will now prove that strongly regular points are SCD, hence also denting points (but this was already showed in Example 2.10). Actually, a formally weaker condition is enough.

**Proposition 2.16.** Let X be a Banach space and  $A \subseteq X$  bounded and convex. If  $a \in A$  satisfies that for every  $\varepsilon > 0$  there exists a convex combination C of slices of A such that  $C \subseteq a + \varepsilon B_X$ , then a is an SCD point of A. In particular, strongly regular points and denting points are SCD points.

*Proof.* For every  $n \in \mathbb{N}$ , we can find a convex combination  $C_n$  of slices of A such that  $C_n \subseteq B(a, \frac{1}{n})$ . By Proposition 2.13, it suffices to show that the sequence  $\{C_n : n \in \mathbb{N}\}$  is determining for a. Let  $B \subseteq A$  be convex and  $B \cap C_n \neq \emptyset$  for every  $n \in \mathbb{N}$ , hence there exists  $b_n \in B \cap C_n$ . We need to show that  $a \in \overline{\operatorname{conv}}(B) = \overline{B}$ . For  $m \in \mathbb{N}$ , we have

$$\|a-b_m\| \leqslant \frac{1}{m},$$

therefore  $a \in \overline{B}$ .

Recall that a closed convex bounded subset A of a Banach space X is said to be strongly regular if for every non-empty subset L of A, there are convex combinations of slices of L of arbitrarily small diameter (equivalently, if every non-empty convex subset C of A contains convex combinations of slices of C of arbitrary small diameter). A is said to be a *CPCP set* if for every non-empty convex subset Lof A there exist relative weak open subsets of L of arbitrary small diameter. CPCP sets are strongly regular (by Bourgain's lemma), but the reciprocal is not true. We refer to [14] for background. As a consequence of Proposition 2.16, Lemmas 2.6 and 2.7, and the fact that when A is strongly regular, the set of strongly regular points of A is norm dense [14, Proposition III.5 and III.6], we get the following.

**Example 2.17.** Let A be a convex bounded subset of a Banach space such that  $\overline{A}$  is strongly regular (in particular, a CPCP set). Then, SCD(A) = A.

We now localize the concept of a (countable)  $\pi$ -base which was used in [4, Proposition 2.21] in order to show that sets that do not contain  $\ell_1$ -sequences are SCD sets.

**Definition 2.18.** Let X be a Banach space,  $A \subseteq X$  bounded and convex, and  $a \in A$ . A *local*  $\pi$ -base (for the weak topology of A) at a is a family  $\{W_j : j \in J\} \subseteq A$  of relatively weakly open subsets such that for every relatively weakly open set  $W \subseteq A$  with  $a \in W$ , there exists  $j \in J$  such that  $W_j \subseteq W$ .

From the definition of a local  $\pi$ -base, the following observation is immediate.

**Lemma 2.19.** Let X be a Banach space and  $A \subseteq X$  bounded and convex. If  $a \in A$  has a countable local  $\pi$ -base, then a is an SCD point of A. Moreover, for every relatively weakly open set  $W \subseteq A$  with  $a \in W$ , we have that  $a \in SCD(W)$ .

For preserved extreme points, the above result is actually a characterization of being SCD point.

**Proposition 2.20.** If  $a \in A$  is an SCD point and a preserved extreme point, then a has a countable local  $\pi$ -base.

*Proof.* Since a is an SCD point there is a determining sequence  $\{W_n : n \in \mathbb{N}\} \subseteq A$  of relatively weakly open subsets. Let  $W \subseteq A$  be a relatively weakly open subset containing a. As a is a preserved extreme point, we can find a slice S of A such that  $a \in S \subseteq W$ . Finally, there is  $m \in \mathbb{N}$  such that  $W_m \subseteq S \subseteq W$ , because a is an SCD point.

We end this subsection by pointing out that we do not know whether there exist SCD points that do not have countable local  $\pi$ -bases.

2.2. SCD points of the unit ball. We start with an easy consequence of Lemma 2.6 which gives us a convenient way to check whether the unit ball of a Banach space has any SCD points.

**Corollary 2.21.** Let X be a Banach space. Then,  $SCD(B_X) = \emptyset$  if and only if  $0 \notin SCD(B_X)$ .

Our first aim is to investigate in detail when the unit ball of a Banach space fails to contain any SCD point. The first result in this line can be get by just having a sight to the proof of [4, Example 2.13]: it is actually shown there that no point of the unit ball of a Banach space with the Daugavet property is a SCD point. Let us state the result for further reference.

**Example 2.22.** Let X be a Banach space with the Daugavet property. Then,  $SCD(B_X) = \emptyset$ .

We now want to extend the above result to a more general setting. For this, we recall a class of Banach spaces concerning the (-1)-ball covering property. We encourage the reader to consult [8] and [16] for further reading.

**Definition 2.23** ([8, Definition 2.3]). A Banach space X is said to fail (-1)-ball covering property (fail (-1)-BCP for short) if for every separable subspace Y of X, there exists  $x \in S_X$  such that the equality

$$||y + \lambda x|| = ||y|| + |\lambda|$$
(2.2)

holds for every  $y \in Y$  and  $\lambda \in \mathbb{R}$ .

Examples of Banach spaces failing the (-1)-BCP include  $\ell_1(I)$ , where I is an uncountable set, the space  $\ell_{\infty}/c_0$ , and X<sup>\*</sup> whenever X has the Daugavet property [8].

The following result generalizes Example 2.22.

**Theorem 2.24.** If X is a Banach space such that  $X^*$  fails (-1)-BCP, then  $SCD(B_X) = \emptyset$ .

*Proof.* By Corollary 2.21, it suffices to show that  $0 \notin \text{SCD}(B_X)$ . Pick an arbitrary sequence of slices  $\{S_n : n \in \mathbb{N}\}$ , defined as  $S_n = S(B_X, x_n^*, \alpha_n)$ , where  $x_n^* \in S_{X^*}$  and  $\alpha_n > 0$ . We aim to show that there are  $x_n \in S_n$  such that  $0 \notin \overline{\text{conv}}(\{x_n : n \in \mathbb{N}\})$ . Since  $X^*$  fails (-1)-BCP, we can find  $x^* \in S_{X^*}$  such that

$$||x_n^* + x^*|| = ||x_n^*|| + 1 = 2$$

for every  $n \in \mathbb{N}$ . By this condition, we can find for each  $n \in \mathbb{N}$  an element  $x_n \in S_X$  such that

$$\operatorname{Re}[x_n^* + x^*](x_n) > 2 - \min\left\{\alpha_n, \frac{1}{2}\right\}.$$

From this we infer that  $x_n \in S_n$  for every  $n \in \mathbb{N}$ , because

$$\operatorname{Re} x_n^*(x_n) + 1 \ge \operatorname{Re} x_n^*(x_n) + \operatorname{Re} x^*(x_n) > 2 - \min\left\{\alpha_n, \frac{1}{2}\right\} \ge 2 - \alpha_n.$$

Similarly, we see that  $\operatorname{Re} x^*(x_n) > 1/2$  for every  $n \in \mathbb{N}$ , hence  $0 \notin \overline{\operatorname{conv}}(\{x_n : n \in \mathbb{N}\})$ . This shows that  $0 \notin \operatorname{SCD}(B_X)$ .

Theorem 2.24 helps us to construct new (even separable) Banach spaces whose unit balls have no SCD points. Indeed, spaces whose duals fail (-1)-BCP include C(K) spaces whenever K is a compact Hausdorff space such that  $|K| \ge \omega_1$  [7, Theorem 6.3] and  $L_1(\mu)$  spaces whenever  $\mu$  is an atomless and localizable measure [7, Corollary 6.7]. Let X be one of such spaces and consider its direct sum  $X \oplus_N X$  with the norm N as suggested in [7, Remark 6.8]. Then, the dual of  $X \oplus_N X$  fails (-1)-BCP and so the unit ball of  $X \oplus_N X$  has no SCD points by Theorem 2.24; on the other hand,  $X \oplus_N X$  fails the Daugavet property.

We end this section by studying SCD points in the unit ball of  $L_1$ -preduals. Recall that a Banach space X is an  $L_1$ -predual if  $X^* = L_1(S, \Sigma, \mu)$  for some measure space  $(S, \Sigma, \mu)$ . We need some notation. Given a Banach space X, we consider the equivalence relation  $f \sim g$  if and only if f and g are linearly dependent elements of  $ext(B_{X^*})$ . We denote the quotient set by  $ext(B_{X^*})/\sim$ .

**Theorem 2.25.** Let X be an  $L_1$ -predual. Then, the following statements hold:

- (a) If  $ext(B_{X^*})/\sim is$  at most countable, then  $SCD(B_X) = B_X$ ;
- (b) If  $\operatorname{ext}(B_{X^*})/\sim$  is uncountable, then  $\operatorname{SCD}(B_X)=\emptyset$ .

To give the proof, we need to recall the well known decomposition of every  $L_1(\mu)$ -space in the form

$$L_1(\mu) = L_1(\nu) \oplus_1 \ell_1(I),$$
(2.3)

where  $\nu$  is an atomless measure and I is some index set (see [19, Theorem 2.1], for instance).

*Proof.* (a). If  $ext(B_{X^*})/\sim$  is countable, then it is known that  $X^*$  is separable [11, Theorem 2], [12, Theorem 3.1]. Thus, X is Asplund and separable, hence X is an SCD space by [4, Example 3.2], in particular,  $SCD(B_X) = B_X$ .

(b). Assume that  $\exp(B_{X^*})/\sim$  is uncountable. Observe that the only extreme points of  $B_{L_1(\mu)}$  are those in the second factor of the decomposition (2.3) (use [18, Lemma I.1.5] and the fact that the unit ball of  $L_1(\nu)$  has no extreme points). Besides,  $\exp(B_{\ell_1(I)}) = \{\lambda e_i : i \in I, |\lambda| = 1\}$ , where  $e_i(j) = \delta_{ij}$ . We deduce that I is uncountable. It is known that in this case,  $\ell_1(I)$  fails (-1)-BCP [16, Corollary 25] and so does the absolute sum  $L_1(\nu) \oplus_1 \ell_1(I)$  by [16, Proposition 8]. Theorem 2.24 then shows that  $\operatorname{SCD}(B_X) = \emptyset$ .

A consequence of the above result is the following interesting example.

**Example 2.26.** Let *I* be an uncountable set. Then,  $SCD(B_{c_0(I)}) = \emptyset$ .

It is interesting here that  $c_0(I)$  is Asplund (hence, in particular, it does not contain copies of  $\ell_1$ ), hence Example 2.9.(b) does not extend to the non-separable case. Compare with the case of item (a) of the same Example, which extends to the non-separable case, see Example 2.17. We do not know which could be the version of the SCD property in the non-separable case which covers Asplund spaces.

### 3. SCD POINTS IN ABSOLUTE SUMS

Our aim here is to present various stability results for SCD points by absolute sums and also to construct some interesting examples using this techniques.

3.1. SCD points in the sum  $X \oplus_{\infty} Y$ . Recall that for Banach spaces X and Y one has that  $B_{X \oplus_{\infty} Y} = B_X \times B_Y$ . Thus the following two preliminary results are straightforward adaptations of [25, Lemmata 2.3 and 2.4] where sums and unions of SCD sets were studied.

**Lemma 3.1** (cf. [25, Lemma 2.3]). Let X and Y be Banach spaces. For any  $(x^*, y^*) \in (X \oplus_{\infty} Y)^*$ and  $\alpha, \beta, \gamma > 0$ , the following conditions hold:

- (a)  $S(B_X, x^*, \alpha) \times S(B_Y, y^*, \beta) \subseteq S(B_{X \oplus_{\infty} Y}, (x^*, y^*), \alpha + \beta).$
- (b) If  $a \in B_X$ ,  $b \in B_Y$  satisfy  $(a,b) \in S(B_{X\oplus_{\infty}Y},(x^*,y^*),\gamma)$ , then  $a \in S(B_X,x^*,\gamma)$  and  $b \in S(B_Y,y^*,\gamma)$ .

*Proof.* (a). Pick  $(x, y) \in S(B_X, x^*, \alpha) \times S(B_Y, y^*, \beta)$ . Then

$$\operatorname{Re} x^{*}(x) > ||x^{*}|| - \alpha, \operatorname{Re} y^{*}(y) > ||y^{*}|| - \beta$$
  

$$\implies \operatorname{Re}(x^{*}, y^{*})(x, y) > ||x^{*}|| + ||y^{*}|| - (\alpha + \beta)$$
  

$$\iff \operatorname{Re}(x^{*}, y^{*})(x, y) > ||(x^{*}, y^{*})||_{1} - (\alpha + \beta),$$

hence  $(x, y) \in S(B_{X \oplus_{\infty} Y}, (x^*, y^*), \alpha + \beta).$ 

(b). Let 
$$a \in B_X$$
,  $b \in B_Y$  be such that  $(a, b) \in S(B_Z, (x^*, y^*), \gamma)$ . This means that

 $\operatorname{Re} x^{*}(a) + \|y^{*}\| \ge \operatorname{Re} x^{*}(a) + \operatorname{Re} y^{*}(b) > \|(x^{*}, y^{*})\|_{1} - \gamma = \|x^{*}\| + \|y^{*}\| - \gamma,$ 

from which we can conclude that  $\operatorname{Re} x^*(a) > ||x^*|| - \gamma$ , therefore  $a \in S(B_X, x^*, \gamma)$ . The proof for  $b \in S(B_Y, y^*, \gamma)$  is analogous.

The next result is an adaptation of [25, Lemma 2.4] to the new pointwise setting. We include the proof for the sake of completeness.

**Lemma 3.2.** Let X and Y be Banach spaces. Assume that  $(a, b) \in \text{SCD}(B_{X \oplus_{\infty} Y})$ . Then, there exists a sequence  $((x_n^*, y_n^*), \alpha_n) \in (X \oplus_{\infty} Y)^* \times (0, \infty)$  such that for every  $(x^*, y^*) \in (X \oplus_{\infty} Y)^*$  and  $\alpha > 0$  satisfying  $(a, b) \in S(B_{X \oplus_{\infty} Y}, (x^*, y^*), \alpha)$ , there exists  $m \in \mathbb{N}$  such that

$$S(B_X, x_m^*, \alpha_m) \subseteq S(B_X, x^*, \alpha) \quad and \quad S(B_Y, y_m^*, \alpha_m) \subseteq S(B_Y, y^*, \alpha). \tag{3.1}$$

Proof. Let  $S_n = S(B_{X \oplus_{\infty} Y}, (x_n^*, y_n^*), 2\alpha_n)$  be the determining sequence of slices for  $(a, b) \in B_{X \oplus_{\infty} Y}$ . We show that the desired sequence is  $((x_n^*, y_n^*), \alpha_n)$ , where  $n \in \mathbb{N}$ . Pick  $(x^*, y^*) \in (X \oplus_{\infty} Y)^*, \alpha > 0$ so that  $(a, b) \in S(B_{X \oplus_{\infty} Y}, (x^*, y^*), \alpha)$ . Since the sequence  $\{S_n : n \in \mathbb{N}\}$  is determining for (a, b), we can find  $m \in \mathbb{N}$  such that

$$S(B_{X\oplus_{\infty}Y}, (x_m^*, y_m^*), 2\alpha_m) \subseteq S(B_{X\oplus_{\infty}Y}, (x^*, y^*), \alpha).$$

An application of Lemma 3.1.(a) gives us

$$S(B_X, x_m^*, \alpha_m) \times S(B_Y, y_m^*, \alpha_m) \subseteq S(B_{X \oplus_{\infty} Y}, (x^*, y^*), \alpha).$$

Observe that inclusions (3.1) are satisfied. Indeed, making use of Lemma 3.1.(b):

$$(x,y) \in S(B_X, x_m^*, \alpha_m) \times S(B_Y, y_m^*, \alpha_m) \implies (x,y) \in S(B_{X \oplus_\infty Y}, (x^*, y^*), \alpha)$$
$$\implies x \in S(B_X, x^*, \alpha), \ y \in S(B_Y, y^*, \alpha),$$

which implies that  $(x, y) \in S(B_X, x^*, \alpha) \times S(B_Y, y^*, \alpha)$ .

With the use of the presented lemmata, we can completely characterize the SCD points of the unit ball of an  $\ell_{\infty}$  sum of two spaces.

**Proposition 3.3.** Let X and Y be Banach spaces. An element  $(a, b) \in \text{SCD}(B_{X \oplus_{\infty} Y})$  if and only if  $a \in \text{SCD}(B_X)$  and  $b \in \text{SCD}(B_Y)$ .

*Proof. Necessity.* Assume that  $(a, b) \in \text{SCD}(B_{X \oplus_{\infty} Y})$  and let

$$((x_n^*, y_n^*), \alpha_n) \in (X \oplus_\infty Y)^* \times (0, \infty)$$

be the sequence from Lemma 3.2. Let us show that slices  $S_n = S(B_X, x_n^*, \alpha_n)$  are determining for a. Fix a slice  $S(B_X, x^*, \alpha) \ni a$ . Observe that  $(x^*, 0) \in (X \oplus_{\infty} Y)^*$  and  $(a, b) \in S(B_{X \oplus_{\infty} Y}, (x^*, 0), \alpha)$ , hence, by Lemma 3.2, there exists  $m \in \mathbb{N}$  such that

$$S_m = S(B_X, x_m^*, \alpha_m) \subseteq S(B_X, x^*, \alpha),$$

so  $a \in SCD(B_X)$ . Analogously we deduce that  $b \in SCD(B_Y)$ .

Sufficiency. Assume that sequences  $\{S_n^a: n \in \mathbb{N}\}$  and  $\{S_n^b: n \in \mathbb{N}\}$  determine points a and b respectively. We aim to prove, by using Proposition 2.13 Condition (ii), that the sequence of nonempty relatively weakly open subsets  $\{S_n^a \times S_k^b: n, k \in \mathbb{N}\}$  determines point (a, b). Fix a slice  $S = S(B_{X \oplus_{\infty} Y}, (x^*, y^*), \alpha)$  containing (a, b), where  $||(x^*, y^*)||_1 = 1$  and  $\alpha > 0$ . See that in this case

$$\alpha > 1 - \operatorname{Re} x^*(a) - \operatorname{Re} y^*(b).$$

which enables us to find  $\gamma > 0$  satisfying the inequality

$$\alpha > 1 - \operatorname{Re} x^*(a) - \operatorname{Re} y^*(b) + \gamma.$$

Notice that

$$\operatorname{Re} x^{*}(a) > \|x^{*}\| - \left(\|x^{*}\| - \operatorname{Re} x^{*}(a) + \frac{\gamma}{2}\right), \quad \operatorname{Re} y^{*}(b) > \|y^{*}\| - \left(\|y^{*}\| - \operatorname{Re} y^{*}(b) + \frac{\gamma}{2}\right)$$

From this we infer that

$$a \in S_1 := S\left(B_X, x^*, \|x^*\| - \operatorname{Re} x^*(a) + \frac{\gamma}{2}\right)$$

and

$$b \in S_2 := S\Big(B_Y, y^*, \|y^*\| - \operatorname{Re} y^*(b) - \frac{\gamma}{2}\Big),$$

hence it is possible to find  $i, j \in \mathbb{N}$  such that  $S_i^a \subseteq S_1$  and  $S_j^b \subseteq S_2$  (as  $a \in \text{SCD}(B_X)$  and  $b \in \text{SCD}(B_Y)$ ). Now, making use of Lemma 3.1.(a), we get  $S_1 \times S_2 \subseteq S$ , because

$$\left( \|x^*\| - \operatorname{Re} x^*(a) + \frac{\gamma}{2} \right) + \left( \|y^*\| - \operatorname{Re} y^*(b) + \frac{\gamma}{2} \right)$$
  
=  $\|(x^*, y^*)\|_1 - \operatorname{Re} x^*(a) - \operatorname{Re} y^*(b) + \gamma$   
=  $1 - \operatorname{Re}(x^*, y^*)(a, b) + \gamma < \alpha.$ 

In conclusion, we have  $S_i^a \times S_j^b \subseteq S_1 \times S_2 \subseteq S$ , which means that the countable collection of non-empty relatively weakly open subsets  $\{S_n^a \times S_k^b : n, k \in \mathbb{N}\}$  determines point (a, b).

3.2. SCD points in the sum  $X \oplus_p Y$ , where  $1 \leq p < \infty$ . Our first result here studied some SCD points of the unit ball of an  $\ell_p$ -sum of two spaces for  $1 \leq p < \infty$ .

**Proposition 3.4.** Let X and Y be Banach spaces and  $1 \leq p < \infty$ .

- (a) If  $a \in \text{SCD}(B_X)$ , then  $(a, 0) \in \text{SCD}(B_{X \oplus_p Y})$ .
- (b) If  $a \in S_X$  and  $(a, 0) \in SCD(B_{X \oplus_p Y})$ , then  $\lambda a \in SCD(B_X)$  for every  $\lambda \in [-1, 1]$ .

*Proof.* (a). Suppose that  $a \in \text{SCD}(B_X)$ , with the determining sequence of slices  $\{S_n : n \in \mathbb{N}\}$ , where  $S_n = S(B_X, x_n^*, \alpha_n), x_n^* \in S_{X^*}$  for every  $n \in \mathbb{N}$ . Without loss of generality we can assume that  $\alpha_n < 1$  for every  $n \in \mathbb{N}$ .

Define for every  $n, k \in \mathbb{N}$  a slice  $S_n^k = S(B_{X \oplus_p Y}, (x_n^*, 0), \alpha_n/k)$ . We show that the countable collection of slices  $\{S_n^k : n, k \in \mathbb{N}\}$  is determining for the point (a, 0). Fix elements  $z_n^k = (x_n^k, y_n^k) \in S_n^k$ 

for every  $n, k \in \mathbb{N}$ . It is easy to see that  $x_n^k \in S_n$  and since the sequence  $\{S_n : n \in \mathbb{N}\}$  determines the point a, we have  $a \in \overline{\operatorname{conv}}(\{x_n^k : n \in \mathbb{N}\})$  for every  $k \in \mathbb{N}$ . Furthermore, we see that

$$1 \ge \left\| (x_n^k, y_n^k) \right\|_p^p = \left\| x_n^k \right\|_p^p + \left\| y_n^k \right\|_p^p > \left( 1 - \frac{\alpha_n}{k} \right)_p^p + \left\| y_n^k \right\|_p^p > 1 - \frac{p\alpha_n}{k} + \left\| y_n^k \right\|_p^p$$

from which

$$\left\|y_n^k\right\|^p < \frac{p\alpha_n}{k} < \frac{p}{k}.$$

Pick an arbitrary  $\varepsilon > 0$  and find  $K \in \mathbb{N}$  such that  $p/K < \varepsilon/2$ . By the argument presented before, we can find  $z \in \operatorname{conv}(\{x_n^K : n \in \mathbb{N}\})$  such that  $||z - a|| < (\varepsilon/2)^{1/p}$ . Let  $z = \sum_{n=1}^{\infty} \lambda_n x_n^K$ , where  $\sum_{n=1}^{\infty} \lambda_n = 1, \lambda_n \in [0, 1]$  and the number of non-zero elements  $\lambda_n$  is finite. Now

$$\begin{aligned} \left\| (a,0) - \sum_{n=1}^{\infty} \lambda_n (x_n^K, y_n^K) \right\|_p^p &= \left\| \left( a - \sum_{n=1}^{\infty} \lambda_n x_n^K, -\sum_{n=1}^{\infty} \lambda_n y_n^K \right) \right\|_p^p \\ &= \left\| a - \sum_{n=1}^{\infty} \lambda_n x_n^K \right\|_p^p + \left\| \sum_{n=1}^{\infty} \lambda_n y_n^K \right\|_p^p \\ &< \frac{\varepsilon}{2} + \sum_{n=1}^{\infty} \lambda_n \left\| y_n^K \right\|_p^p < \frac{\varepsilon}{2} + \sum_{n=1}^{\infty} \lambda_n \cdot \frac{p}{K} \\ &= \frac{\varepsilon}{2} + \frac{p}{K} \sum_{n=1}^{\infty} \lambda_n \\ &\leq \frac{\varepsilon}{2} + \frac{p}{K} < \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon, \end{aligned}$$

hence  $(a, 0) \in \overline{\operatorname{conv}}(\{z_n^K : n \in \mathbb{N}\}) \subseteq \overline{\operatorname{conv}}(\{z_n^k : n, k \in \mathbb{N}\}).$ 

(b). Observe that it suffices to prove that  $a \in SCD(B_X)$  by Lemma 2.6. Consider a sequence of slices  $\{S_n : n \in \mathbb{N}\}$  of the form

$$S_n = S(B_{X \oplus_p Y}, (x_n^*, y_n^*), \alpha_n),$$

where  $\alpha_n > 0$  and  $||(x_n^*, y_n^*)||_q = 1$  for all  $n \in \mathbb{N}$ , which is determining for the point (a, 0). First let us define index sets

$$I := \{ n \in \mathbb{N} \colon \|x_n^*\| \neq 0 \}, \quad J := \mathbb{N} \setminus I = \{ n \in \mathbb{N} \colon \|x_n^*\| = 0 \}.$$

For  $n \in I$ , select any  $(x_n, y_n) \in S_n = S(B_{X \oplus_p Y}, (x_n^*, y_n^*), \alpha_n)$  with  $x_n \neq 0$  (this is clearly possible as  $S_n$  is open). Observe that, in this case,

$$\operatorname{Re} x_n^*(x_n) + \operatorname{Re} y_n^*(y_n) > 1 - \alpha_n,$$

from which we infer

$$\operatorname{Re} x_n^* \left( \frac{x_n}{\|x_n\|} \right) > \frac{1 - \alpha_n - \operatorname{Re} y_n^*(y_n)}{\|x_n\|}$$

Notice that the set  $T_n$  (with  $n \in I$ ) defined as

$$T_n := \left\{ z \in B_X : \text{Re } x_n^*(z) > \frac{1 - \alpha_n - \text{Re } y_n^*(y_n)}{\|x_n\|} \right\}$$

is a non-empty slice of  $B_X$ , since  $x_n / ||x_n|| \in T_n$ . We claim that the sequence of slices  $\{T_n : n \in I\}$  is determining for a. Pick  $u_n \in T_n$  for every  $n \in I$  and  $\varepsilon > 0$ . Observe that

$$\operatorname{Re} x_{n}^{*}(u_{n}) > \frac{1 - \alpha_{n} - \operatorname{Re} y_{n}^{*}(y_{n})}{\|x_{n}\|} \iff \operatorname{Re} \left(x_{n}^{*}(\|x_{n}\| u_{n}) + y_{n}^{*}(y_{n})\right) > 1 - \alpha_{n},$$

the latter stating that  $(||x_n|| u_n, y_n) \in S_n$ . Observe that this element is in the unit ball of  $X \oplus_p Y$ . Indeed,

$$\|(\|x_n\| u_n, y_n)\|^p = \|x_n\|^p \|u_n\|^p + \|y_n\|^p \le \|x_n\|^p + \|y_n\|^p \le 1,$$

since  $(x_n, y_n)$  is also an element of  $S_n$ .

For  $n \in J$  we have  $||y_n^*|| = 1$ , so there are elements of the form  $(0, y_n) \in S_n$ . We now define, for each  $n \in \mathbb{N}$ , elements  $z_n$  of the absolute sum as follows:

$$z_n = \begin{cases} (\|x_n\| \, u_n, y_n), & n \in I; \\ (0, y_n), & n \in J. \end{cases}$$

Observe that  $z_n \in S_n$  for every  $n \in \mathbb{N}$ . By assumption, the sequence  $\{S_n : n \in \mathbb{N}\}$  is determining for (a, 0), therefore we can find elements  $(\lambda_n) \subseteq [0, 1]$  with  $\sum_{n=1}^{\infty} \lambda_n = 1$  and only finitely many  $\lambda_n$  being non-zero, such that

$$\left\| (a,0) - \sum_{n=1}^{\infty} \lambda_n z_n \right\|^p < \varepsilon^{2p}.$$

From this, we derive that

$$\varepsilon^{2p} > \left\| (a,0) - \sum_{n=1}^{\infty} \lambda_n z_n \right\|^p = \left\| (a,0) - \sum_{n \in I} \lambda_n (\|x_n\| \, u_n, y_n) - \sum_{n \in J} \lambda_n (0, y_n) \right\|^p$$
$$= \left\| \left( a - \sum_{n \in I} \lambda_n \, \|x_n\| \, u_n, -\sum_{n=1}^{\infty} \lambda_n y_n \right) \right\|^p = \left\| a - \sum_{n \in I} \lambda_n \, \|x_n\| \, u_n \right\|^p + \left\| \sum_{n=1}^{\infty} \lambda_n y_n \right\|^p$$
$$\geqslant \left\| a - \sum_{n \in I} \lambda_n \, \|x_n\| \, u_n \right\|^p,$$

so  $\|a - \sum_{n \in I} \lambda_n \|x_n\| \|u_n\| < \varepsilon^2$ . Moreover, the reverse triangle inequality gives us

$$\varepsilon^2 > \|a\| - \left\|\sum_{n \in I} \lambda_n \|x_n\| u_n\right\|,\,$$

hence  $\left\|\sum_{n\in I} \lambda_n \|x_n\| \|u_n\| > 1 - \varepsilon^2$ , and, in particular,

$$1 - \varepsilon^2 < \sum_{n \in I} \lambda_n \|u_n\| \|x_n\| \leqslant \sum_{n \in I} \lambda_n$$

To proceed, we will separate the index set I, by defining

$$A := \{ n \in I \colon ||x_n|| > 1 - \varepsilon \}, \quad B := I \setminus A = \{ n \in I \colon ||x_n|| \le 1 - \varepsilon \}$$

Then,

$$1 - \varepsilon^{2} < \sum_{n \in I} \lambda_{n} \|x_{n}\| \|u_{n}\| = \sum_{n \in A} \lambda_{n} \|x_{n}\| \|u_{n}\| + \sum_{n \in B} \lambda_{n} \|x_{n}\| \|u_{n}\|$$
$$\leqslant \sum_{n \in A} \lambda_{n} + (1 - \varepsilon) \sum_{n \in B} \lambda_{n} = \sum_{n \in A} \lambda_{n} + \sum_{n \in B} \lambda_{n} - \varepsilon \sum_{n \in B} \lambda_{n}$$
$$\leqslant \sum_{n \in I} \lambda_{n} - \varepsilon \sum_{n \in B} \lambda_{n} \leqslant 1 - \varepsilon \sum_{n \in B} \lambda_{n},$$

which means that  $\sum_{n \in B} \lambda_n < \varepsilon$ . Now,

$$\begin{aligned} \left\| a - \sum_{n \in I} \lambda_n u_n \right\| &\leqslant \left\| a - \sum_{n \in I} \lambda_n \left\| x_n \right\| u_n \right\| + \left\| \sum_{n \in I} \lambda_n (1 - \| x_n \|) u_n \right\| \\ &< \varepsilon^2 + \sum_{n \in A} \lambda_n \left| 1 - \| x_n \| \right| \left\| u_n \right\| + \sum_{n \in B} \lambda_n \left| 1 - \| x_n \| \right| \left\| u_n \right\| \\ &< \varepsilon^2 + \sum_{n \in A} \lambda_n \varepsilon \left\| u_n \right\| + \sum_{n \in B} \lambda_n \left\| u_n \right\| \\ &< \varepsilon^2 + \varepsilon \sum_{n \in A} \lambda_n + \sum_{n \in B} \lambda_n < \varepsilon^2 + \varepsilon + \varepsilon = \varepsilon^2 + 2\varepsilon. \end{aligned}$$

Finally, denote  $\Lambda := \sum_{n \in I} \lambda_n$  and remind that

$$1 - \varepsilon^2 < \Lambda \leqslant 1.$$

By writing  $\mu_n := \frac{\lambda_n}{\Lambda} \in [0, 1]$ , we have  $\sum_{n \in I} \mu_n = 1$  and, therefore,  $\sum_{n \in I} \mu_n u_n \in \overline{\text{conv}}\{u_n : n \in I\}$ . To conclude, we see that this convex combination is the one we are looking for, since

$$\begin{aligned} \left\| a - \sum_{n \in I} \mu_n u_n \right\| &\leq \left\| a - \sum_{n \in I} \lambda_n u_n \right\| + \left\| \sum_{n \in I} (\lambda_n - \mu_n) u_n \right\| < \varepsilon^2 + 2\varepsilon + \sum_{n \in I} \left| \lambda_n - \frac{\lambda_n}{\Lambda} \right| \|u_n\| \\ &\leq \varepsilon^2 + 2\varepsilon + \left| 1 - \frac{1}{\Lambda} \right| \sum_{n \in I} \lambda_n \leqslant \varepsilon^2 + 2\varepsilon + \frac{|\Lambda - 1|}{\Lambda} < \varepsilon^2 + 2\varepsilon + \frac{\varepsilon^2}{1 - \varepsilon^2}. \end{aligned}$$

The arbitrariness of  $\varepsilon$  now concludes that the sequence of slices  $\{T_n : n \in \mathbb{N}\}$  is determining for a.  $\Box$ 

We can now partially characterize the SCD points of the unit sphere of  $X \oplus_1 Y$ .

**Proposition 3.5.** Let X and Y be Banach spaces and  $(a,b) \in S_{X\oplus_1Y}$ , where  $a \in X \setminus \{0\}$  and  $b \in Y \setminus \{0\}$ . Then,  $(a,b) \in \text{SCD}(B_{X\oplus_1Y})$  if and only if  $\frac{a}{\|a\|} \in \text{SCD}(B_X)$  and  $\frac{b}{\|b\|} \in \text{SCD}(B_Y)$ .

*Proof. Necessity.* Let the slices  $S_n = S(B_{X \oplus_1 Y}, (x_n^*, y_n^*), \alpha_n), n \in \mathbb{N}$ , determine the point (a, b). We assume that  $\|(x_n^*, y_n^*)\|_{\infty} = \max\{\|x_n^*\|, \|y_n^*\|\} = 1$ , and using this fact, we construct the sets

$$I := \{ n \in \mathbb{N} \colon \|x_n^*\| = 1 \}, \quad J := \mathbb{N} \setminus I = \{ n \in \mathbb{N} \colon \|y_n^*\| = 1 \}.$$

We prove that the slices  $\{T_n : n \in I\}$ , where  $T_n = S(B_X, x_n^*, \alpha_n)$ , are determining for the point  $\frac{a}{\|a\|}$ . Pick for each  $n \in I$  an element  $x_n \in T_n$ . Now, we define elements  $z_n \in B_{X \oplus_1 Y}$  by

$$z_n = \begin{cases} (x_n, 0), \ n \in I; \\ (0, y_n), \ n \in J, \end{cases}$$

where  $y_n$  belongs to slice  $S(B_Y, y_n^*, \alpha_n)$  for every  $n \in J$ . Evidently,  $z_n \in S_n$  for each  $n \in \mathbb{N}$  and, consequently, since the sequence  $\{S_n : n \in \mathbb{N}\}$  determines the point (a, b), we have that  $(a, b) \in \overline{\operatorname{conv}}(\{z_n : n \in \mathbb{N}\})$ .

Let  $\varepsilon > 0$ . Take  $\delta \in (0,1)$  such that  $\frac{2\delta}{\|a\| - \delta} < \varepsilon$  and find a convex combination  $\sum_{n=1}^{\infty} \lambda_n z_n \in$ conv( $\{z_n : n \in \mathbb{N}\}$ ), where  $\lambda_n \in [0,1]$  and only finitely many  $\lambda_n$  are non-zero, such that

$$\left\| (a,b) - \sum_{n=1}^{\infty} \lambda_n z_n \right\|_1 < \delta.$$

Then,

$$\delta > \left\| (a,b) - \sum_{n=1}^{\infty} \lambda_n z_n \right\|_1 = \left\| (a,b) - \sum_{n \in I} \lambda_n (x_n,0) - \sum_{n \in J} \lambda_n (0,y_n) \right\|_1$$
$$= \left\| \left( a - \sum_{n \in I} \lambda_n x_n, b - \sum_{n \in J} \lambda_n y_n \right) \right\|_1 = \left\| a - \sum_{n \in I} \lambda_n x_n \right\| + \left\| b - \sum_{n \in J} \lambda_n y_n \right\|_1$$

therefore

$$\delta > \left\| a - \sum_{n \in I} \lambda_n x_n \right\| \ge \|a\| - \left\| \sum_{n \in I} \lambda_n x_n \right\| \ge \|a\| - \sum_{n \in I} \lambda_n,$$

meaning that  $\sum_{n \in I} \lambda_n > ||a|| - \delta$ . Similarly, we can see that  $\sum_{n \in J} \lambda_n > ||b|| - \delta$ . On the other hand,

$$\sum_{n \in I} \lambda_n = 1 - \sum_{n \in J} \lambda_n < 1 - \|b\| + \delta = \|a\| + \|b\| - \|b\| + \delta = \|a\| + \delta,$$

so in conclusion we have  $\left| \|a\| - \sum_{n \in I} \lambda_n \right| < \delta$ . Now, by denoting  $\Lambda := \sum_{n \in I} \lambda_n$ , we have that

$$\sum_{n \in I} \frac{\lambda_n}{\Lambda} x_n \in \operatorname{conv}(\{x_n \colon n \in \mathbb{N}\})$$

and

$$\begin{aligned} \left\| \frac{a}{\|a\|} - \sum_{n \in I} \frac{\lambda_n}{\Lambda} x_n \right\| &= \left\| \frac{a}{\|a\|} - \frac{\sum_{n \in I} \lambda_n x_n}{\Lambda} \right\| = \frac{\left\| \Lambda a - \|a\| \sum_{n \in I} \lambda_n x_n \right\|}{\Lambda \|a\|} \\ &= \frac{\left\| \Lambda a - \|a\| \sum_{n \in I} \lambda_n x_n + \|a\| a - \|a\| a\right\|}{\Lambda \|a\|} \\ &= \frac{\left\| a(\Lambda - \|a\|) + \|a\| (a - \sum_{n \in I} \lambda_n x_n)) \right\|}{\Lambda \|a\|} \\ &\leqslant \frac{\left\| a(\Lambda - \|a\|) \right\| + \left\| \|a\| (a - \sum_{n \in I} \lambda_n x_n) \right\|}{\Lambda \|a\|} \\ &\leqslant \frac{\left\| a\| \|\Lambda - \|a\| + \|a\| \left\| a - \sum_{n \in I} \lambda_n x_n \right\|}{\Lambda \|a\|} < \frac{\delta + \delta}{\Lambda} \\ &= \frac{2\delta}{\Lambda} \leqslant \frac{2\delta}{\|a\| - \delta} < \varepsilon, \end{aligned}$$

Therefore  $\frac{a}{\|a\|} \in \overline{\operatorname{conv}}(\{x_n \colon n \in I\})$ . The proof for the point  $\frac{b}{\|b\|}$  is analogous. Sufficiency. Assume  $\frac{a}{\|a\|} \in \operatorname{SCD}(B_X)$  and  $\frac{b}{\|b\|} \in \operatorname{SCD}(B_Y)$  and observe that

$$(a,b) = ||a|| \left(\frac{a}{||a||}, 0\right) + ||b|| \left(0, \frac{b}{||b||}\right),$$

where  $||(a,b)||_1 = ||a|| + ||b|| = 1$ . By Proposition 3.4, (a), we have

$$\left(\frac{a}{\|a\|}, 0\right) \in \operatorname{SCD}(B_{X\oplus_1 Y}) \quad \text{and} \quad \left(0, \frac{b}{\|b\|}\right) \in \operatorname{SCD}(B_{X\oplus_1 Y}),$$

and since the set of SCD points is convex, we have  $(a, b) \in \text{SCD}(B_{X \oplus_1 Y})$ .

3.3. SCD points in infinite  $\ell_p$  direct sums for  $1 . Our aim here is to show that it is possible that 0 is the only SCD point of a unit ball. Actually, the same result shows that it is possible to get SCD points in the unit ball of an infinite <math>\ell_p$ -sum even if there is no SCD points of the unit ball of any of the summands. Here is the main result in this line.

**Theorem 3.6.** Let  $\{X_n : n \in \mathbb{N}\}$  be a sequence of Banach spaces with the Daugavet property and write  $X_p := \left[\bigoplus_{n=1}^{\infty} X_n\right]_{\ell_n}$  for  $1 . Then, <math>\text{SCD}(B_{X_p}) = \{0\}$ .

With this result we may show an example as announced as the beginning of the subsection. Consider the Banach space  $X_p = \left[\bigoplus_{n=1}^{\infty} C[0,1]\right]_{\ell_p}$ , where  $1 . Then, by Theorem 3.6 we have <math>\operatorname{SCD}(B_{X_p}) = \{0\}$ . It is also worth noting that by Theorem 2.24, we know that  $\operatorname{SCD}(B_{C[0,1]}) = \emptyset$ .

For the proof of Theorem 3.6 we will combine Propositions 3.7 and 3.11 below, which are interesting by themselves.

**Proposition 3.7.** Let  $\{X_n : n \in \mathbb{N}\}$  be a sequence of arbitrary (non-trivial) Banach spaces and write  $X_p := [\bigoplus_{n=1}^{\infty} X_n]_{\ell_p}$  for  $1 . Then, <math>0 \in \text{SCD}(B_{X_p})$ .

*Proof.* Firstly, for each  $n \in \mathbb{N}$  select an element  $x_n^* \in S_{X_n^*}$  and for every  $k \in \mathbb{N}$  define a slice

$$S_n^k = S\Big(B_X, (\underbrace{0, 0, \dots, 0, x_n^*}_{n \text{ components}}, 0, 0, 0, \dots), \frac{1}{k}\Big).$$

Let us prove that the countable collection of slices  $\{S_n^k: n, k \in \mathbb{N}\}$  is determining for the point  $0 = (0, 0, ...) \in B_X$ . To this end, pick  $x_n^k \in S_n^k$  for every  $n, k \in \mathbb{N}$  and fix  $\varepsilon > 0$ . It suffices to find  $x \in \overline{\operatorname{conv}}(\{x_n^k: n, k \in \mathbb{N}\})$  such that  $||x|| < \varepsilon$ .

Pick  $K \in \mathbb{N}$  such that  $(p/K)^{1/p} < \varepsilon/2$  and  $K^{1/p-1} < \varepsilon/2$ . We will show that then  $x := \sum_{n=1}^{K} \frac{1}{K} x_n^K$  is the element we are looking for.

Now for given  $n \in \mathbb{N}$ ,

$$x_n^K = (w_{n,1}^K, w_{n,2}^K, \dots, w_{n,n}^K, w_{n,n+1}^K, \dots),$$

so we have

$$1 - \frac{1}{K} < \operatorname{Re}(0, 0, \dots, 0, x_n^*, 0, 0, \dots)(w_{n,1}^K, w_{n,2}^K, \dots, w_{n,n}^K, w_{n,n+1}^K, \dots)$$
  
=  $\operatorname{Re} x_n^*(w_{n,n}^K) \le ||x_n^*|| ||w_{n,n}^K|| = ||w_{n,n}^K||,$ 

hence  $||w_{n,n}^K|| > 1 - 1/K$ . Moreover, we have  $x_n^K \in B_X$ , which means that

$$||x_{n}^{K}||^{p} = \sum_{i=1}^{\infty} ||w_{n,i}^{K}||^{p} \leq 1.$$

Recall also the generalization of the Bernoulli inequality, which states that

 $(1+s)^r > 1 + rs$ 

for every r > 1 and  $s \neq 0$  satisfying s > -1 (see [30, p. 34]). Now, we can derive another estimation:

$$\sum_{i \neq n}^{\infty} \left\| w_{n,i}^{K} \right\|^{p} = \left( \sum_{i=1}^{\infty} \left\| w_{n,i}^{K} \right\|^{p} \right) - \left\| w_{n,n}^{K} \right\|^{p} < 1 - \left( 1 - \frac{1}{K} \right)^{p} \le 1 - \left( 1 - \frac{p}{K} \right) = \frac{p}{K}$$

Define for each  $n \in \mathbb{N}$  an element

$$\widehat{x}_{n}^{K} = (0, 0, \dots, w_{n,n}^{K}, 0, 0, \dots).$$

Using the estimation above we get

$$\left\|x_{n}^{K}-\widehat{x}_{n}^{K}\right\| = \left\|\left(w_{n,1}^{K},\ldots,w_{n,n-1}^{K},0,w_{n,n+1}^{K},\ldots\right)\right\| = \left(\sum_{i\neq n}^{\infty}\left\|w_{n,i}^{K}\right\|^{p}\right)^{1/p} < \left(\frac{p}{K}\right)^{1/p}$$

Finally, we have that

$$\begin{split} \left\| \sum_{n=1}^{K} \frac{1}{K} x_n^K \right\| &\leqslant \left\| \sum_{n=1}^{K} \frac{1}{K} (x_n^K - \widehat{x}_n^K) \right\| + \left\| \sum_{n=1}^{K} \frac{1}{K} \widehat{x}_n^K \right\| \\ &\leqslant \frac{1}{K} \sum_{n=1}^{K} \| x_n^K - \widehat{x}_n^K \| + \frac{1}{K} \right\| \sum_{n=1}^{K} \widehat{x}_n^K \| \\ &\leqslant \frac{1}{K} \cdot K \cdot \left( \frac{p}{K} \right)^{1/p} + \frac{1}{K} \left( \sum_{n=1}^{K} \| w_{n,n}^K \|^p \right)^{1/p} \leqslant \varepsilon/2 + \frac{1}{K} \cdot K^{1/p} < \varepsilon. \end{split}$$

Two comments are pertinent.

**Remark 3.8.** We note that a similar result to Proposition 3.7 does not hold for p = 1 or  $p = \infty$ . Indeed, if Z is a space with the Daugavet property, then so are  $X := \left[\bigoplus_{n=1}^{\infty} Z\right]_{\ell_1}$  and  $Y := \left[\bigoplus_{n=1}^{\infty} Z\right]_{\ell_{\infty}}$  by [26, Proposition 2.16]. But  $\text{SCD}(B_X) = \text{SCD}(B_Y) = \emptyset$  by Example 2.22.

**Remark 3.9.** The converse of assertion (a) of Proposition 3.4 does not hold and assertion (b) of the same proposition does not hold for a with ||a|| < 1. Indeed, consider the space  $Z := [\bigoplus_{n=1}^{\infty} C[0,1]]_{\ell_2}$ . Then, we can write  $Z = C[0,1] \oplus_2 Y$ , where  $Y = [\bigoplus_{n=2}^{\infty} C[0,1]]_{\ell_2}$ . By Proposition 3.7, (0,0) is an SCD point in  $B_Z$ , however, 0 is not an SCD point in  $B_{C[0,1]}$  because C[0,1] has the Daugavet property.

We now aim to prove that in a direct sum of two spaces  $E \oplus_p Y$  such that E has the Daugavet property, the SCD points of the unit ball of  $E \oplus_p Y$  can only be of the form (0, b). To this end, we need the following result which is a consequence of [26, Lemma 2.8], as can be seen in the proof of [4, Example 2.13].

**Lemma 3.10** ([26, Lemma 2.8], see [4, Example 2.13]). Let X be a Banach space with the Daugavet property. Then, for every sequence of slices  $\{S_n : n \in \mathbb{N}\}$  of  $B_X$  and every  $x \in S_X$ , there is a sequence  $\{x_n : n \in \mathbb{N}\}$  with  $x_n \in S_n$  for each  $n \in \mathbb{N}$ , such that  $x \notin \overline{\text{span}}(\{x_n : n \in \mathbb{N}\})$ .

We may now state and proof the indicated result.

**Proposition 3.11.** Consider the Banach space  $X := E \oplus_p Y$ , where E has the Daugavet property, Y is arbitrary, and  $1 . If <math>(a, b) \in SCD(B_X)$ , then a = 0.

*Proof.* We prove the contrapositive. Let  $a \neq 0$  and fix an arbitrary sequence of slices  $\{S_n : n \in \mathbb{N}\}$ , where  $S_n = S(B_X, (a_n^*, b_n^*), \alpha_n)$ . We may assume that  $||(a_n^*, b_n^*)||_q = 1$  (q is the Hölder conjugate of p). We aim to find a sequence  $(x_n)$  such that  $x_n \in S_n$  for each  $n \in \mathbb{N}$  and  $(a, b) \notin \overline{\operatorname{conv}}\{x_n : n \in \mathbb{N}\}$ . Define

 $A:=\{n\in\mathbb{N}\colon a_n^*\neq 0\},\quad B=\mathbb{N}\setminus A=\{n\in\mathbb{N}\colon a_n^*=0\}.$ 

Using these sets, let us define the desired sequence. First, if  $n \in B$ , we will pick  $x_n = (0, v_n) \in S_n$ , where the first coordinate can be taken zero due to the fact that  $a_n^* = 0$ . Now, for every  $n \in A$  define a slice

$$T_n = S\Big(B_E, a_n^*, \frac{\alpha_n}{4}\Big),$$

and consider the sequence of slices  $\{T_n : n \in A\}$ . By Lemma 3.10, we can find a sequence  $(u_n)_{n \in A}$  so that  $u_n \in T_n$  for all  $n \in A$  and

$$a \notin \overline{\operatorname{span}}(\{u_n \colon n \in A\}).$$

The latter means that there exists  $\varepsilon > 0$  such that  $||a - u|| \ge \varepsilon$  for every  $u \in \text{span}(\{u_n : n \in A\})$ . We claim the following:

$$\forall n \in A \; \exists r_n, s_n \in \mathbb{R} \; \exists q_n \in B_Y \text{ such that } u_n \in T_n \implies (r_n u_n, s_n q_n) \in S_n.$$
(3.2)

Indeed, fix  $n \in A$  and observe that we can find  $(e_n, y_n) \in S_n$  such that

$$\operatorname{Re}(a_n^*, b_n^*)(e_n, y_n) = \operatorname{Re} a_n^*(e_n) + \operatorname{Re} b_n^*(y_n) > 1 - \frac{\alpha_n}{2}.$$

Take  $r_n := ||e_n||$  and  $s_n := ||y_n||$ . In addition, find  $q_n \in B_Y$  such that

$$\operatorname{Re} b_n^*(q_n) > \|b_n^*\| - \frac{\alpha_n}{4}.$$

By assumption,  $u_n \in T_n$ , which means that

$$\operatorname{Re} a_n^*(u_n) > \|a_n^*\| - \frac{\alpha_n}{4}$$

To conclude, first notice that

$$(r_n u_n, s_n q_n) = (||e_n|| u_n, ||y_n|| q_n) \in B_X$$

as we have that

$$\begin{aligned} \|(r_n u_n, s_n q_n)\|_p^p &= \|(\|e_n\| u_n, \|y_n\| q_n)\|_p^p \\ &= \|e_n\|^p \|u_n\|^p + \|y_n\|^p \|q_n\|^p \\ &\leqslant \|e_n\|^p + \|y_n\|^p \leqslant 1. \end{aligned}$$

Finally, using all the estimations derived above, we get

$$\operatorname{Re} (a_n^*, b_n^*)(r_n u_n, s_n q_n) = \operatorname{Re} a_n^*(u_n) ||e_n|| + \operatorname{Re} b_n^*(q_n) ||y_n|| > \left( ||a_n^*|| - \frac{\alpha_n}{4} \right) ||e_n|| + \left( ||b_n^*|| - \frac{\alpha_n}{4} \right) ||y_n|| = ||a_n^*|| ||e_n|| + ||b_n^*|| ||y_n|| - \frac{\alpha_n}{4} \left( ||e_n|| + ||y_n|| \right) \ge \operatorname{Re} a_n^*(e_n) + \operatorname{Re} b_n^*(y_n) - \frac{\alpha_n}{2} > 1 - \frac{\alpha_n}{2} - \frac{\alpha_n}{2} = 1 - \alpha_n,$$

which means that  $(r_n u_n, s_n q_n) \in S_n$ , as claimed.

Now, we are able to pick, by using the claim, for each  $n \in A$ 

$$x_n = (r_n u_n, s_n q_n) \in S_n.$$

Pick arbitrary  $\lambda_n \in [0, 1]$ , finitely many being non-zero with  $\sum_{n=1}^{\infty} \lambda_n = 1$ . We have

$$\begin{aligned} \left\| (a,b) - \sum_{n=1}^{\infty} \lambda_n x_n \right\|_p &= \left\| (a,b) - \sum_{n \in A} \lambda_n (r_n u_n, s_n q_n) - \sum_{n \in B} \lambda_n (0, v_n) \right\|_p \\ &= \left\| \left( a - \sum_{n \in A} \lambda_n r_n u_n, b - \sum_{n \in B} \lambda_n (s_n q_n - v_n) \right) \right\|_p \\ &= \left( \left\| a - \sum_{n \in A} \lambda_n r_n u_n \right\|^p + \left\| b - \sum_{n \in B} \lambda_n (s_n q_n - v_n) \right\|^p \right)^{1/p} \\ &\geqslant \left\| a - \sum_{n \in A} \lambda_n r_n u_n \right\| \geqslant \varepsilon, \end{aligned}$$

which means that  $(a, b) \notin \overline{\text{conv}}(\{x_n : n \in \mathbb{N}\}).$ 

## We can now glue the two proposition above to provide the pending proof of Theorem 3.6.

Proof of Theorem 3.6. First, we know that  $0 \in \text{SCD}(B_{X_p})$  by Proposition 3.7. Assume now that  $(a_n) \in \text{SCD}(B_{X_p})$ . We show that  $a_n = 0$  for all  $n \in \mathbb{N}$ . Indeed, for  $n \in \mathbb{N}$ , write

$$X_p = X_n \oplus_p \left[\bigoplus_{k \neq n} X_k\right]_{\ell_p}$$

Then, by Proposition 3.11, we have that  $a_n = 0$ .

#### 4. SCD POINTS IN PROJECTIVE TENSOR PRODUCTS

Recall that the projective tensor product of two Banach spaces X and Y, denoted by  $X \widehat{\otimes}_{\pi} Y$ , is the completion of the algebraic tensor product  $X \otimes Y$  under the norm given by

$$||u|| := \inf \left\{ \sum_{i=1}^n ||x_i|| \, ||y_i|| : u = \sum_{i=1}^n x_i \otimes y_i \right\}.$$

It follows easily from the definition that  $B_{X\widehat{\otimes}_{\pi}Y} = \overline{\operatorname{conv}}(B_X \otimes B_Y) = \overline{\operatorname{conv}}(S_X \otimes S_Y)$ , where

$$A \otimes B := \{ x \otimes y \colon x \in A, \ y \in B \}$$

for subsets  $A \subset X$  and  $B \subset Y$ . It is well known that  $(X \widehat{\otimes}_{\pi} Y)^* = \mathcal{L}(X, Y^*) = \mathcal{B}(X \times Y)$  [33, p. 24].

We will now present the main result of this section.

**Theorem 4.1.** Let X and Y be Banach spaces. If  $a \in dent(B_X)$  and  $b \in SCD(B_Y) \setminus \{0\}$ , then  $a \otimes b \in SCD(B_X \widehat{\otimes}_{\pi Y})$ .

We need the following useful lemma from [31].

**Lemma 4.2** ([31, Lemma 3.4]). Suppose that we have a norm one bilinear form  $B \in \mathcal{B}(X \times Y) = (X \widehat{\otimes}_{\pi} Y)^*$  and  $\varepsilon > 0$ . Then,

$$S(B_{X\widehat{\otimes}_{\pi}Y}, B, \varepsilon^2) \subseteq \overline{\operatorname{conv}}(\{x \otimes y \colon x \in B_X, y \in B_Y, \operatorname{Re} B(x, y) > 1 - \varepsilon\}) + 4\varepsilon B_{X\widehat{\otimes}_{\pi}Y}.$$

Proof of Theorem 4.1. First, notice that since a is a denting point of  $B_X$ , we can find for each  $n \in \mathbb{N}$  a slice  $S(B_X, x_n^*, \alpha_n)$ , where  $||x_n^*|| = 1$  and

$$a \in S(B_X, x_n^*, \alpha_n) \subseteq B\left(a, \frac{1}{n}\right).$$

$$(4.1)$$

On the other hand, we can find a sequence of slices

$$\{S(B_Y, y_m^*, \beta_m) \colon m \in \mathbb{N}, \ \|y_m^*\| = 1\},\tag{4.2}$$

which is determining for b. Let us now define for each  $n, m, k \in \mathbb{N}$  the following slices:

$$S_{n,m}^{k} = S\Big(B_{X\widehat{\otimes}_{\pi}Y}, x_{n}^{*} \otimes y_{m}^{*}, \frac{1}{k}\Big).$$

where, as usual,

$$(x_n^* \otimes y_m^*)(x \otimes y) = x_n^*(x)y_m^*(y)$$

for every  $x \in X$  and  $y \in Y$ . Note here that the function  $x_n^* \otimes y_m^*$  is bilinear and bounded, therefore  $(x_n^* \otimes y_m^*) \in (X \otimes_{\pi} Y)^*$ . Our goal is to prove that the countable collection of slices  $\{S_{n,m}^k : n, m, k \in \mathbb{N}\}$  is determining for the elementary tensor  $a \otimes b$ . To this end, we will use Proposition 2.3 Condition (ii). Let  $S = S(B_{X \otimes_{\pi} Y}, B, \alpha)$ , where B is a norm one bounded bilinear form and  $a \otimes b \in S$ . It suffices to find a member of the sequence of slices  $\{S_{n,m}^k : n, m, k \in \mathbb{N}\}$ , which is contained in S.

First, since  $a \otimes b \in S$ , we have

$$\operatorname{Re} B(a,b) > 1 - \alpha \implies \exists \gamma > 0 \text{ such that } \operatorname{Re} B(a,b) > 1 - \alpha + \gamma$$

This in turn means that

$$a \in \{x \in B_X \colon \operatorname{Re} B(x, b) > 1 - \alpha + \gamma\}$$

where the set above is actually a slice of  $B_X$ , since it is not empty and the mapping  $x \mapsto \operatorname{Re} B(x, b)$  is clearly linear and continuous.

Take  $n \in \mathbb{N}$  such that  $1/n < \gamma/32$ . Then,

$$a \in S(B_X, x_n^*, \alpha_n) \subseteq B\left(a, \frac{1}{n}\right) \subseteq B\left(a, \frac{\gamma}{32}\right)$$

$$(4.3)$$

by (4.1). Using the fact that  $\operatorname{Re} B(a, b) > 1 - \alpha + \gamma$ , we see that similarly to the last case

$$b \in \{y \in B_Y \colon \operatorname{Re} B(a, y) > 1 - \alpha + \gamma\}$$

where the set is again a slice of  $B_Y$ . Since the sequence of slices in (4.2) determines b, we can find  $m \in \mathbb{N}$  such that

$$S(B_Y, y_m^*, \beta_m) \subseteq \{ y \in B_Y \colon \operatorname{Re} B(a, y) > 1 - \alpha + \gamma \}.$$

$$(4.4)$$

Consider now the following set:

$$S^{\otimes} := \{ u \otimes v \colon u \in S(B_X, x_n^*, \alpha_n), \ v \in S(B_Y, y_m^*, \beta_m) \}$$

We claim that

$$S^{\otimes} \subseteq \left\{ z \in B_{X\widehat{\otimes}_{\pi}Y} \colon \operatorname{Re}B(z) > 1 - \alpha + \frac{31\gamma}{32} \right\}.$$

$$(4.5)$$

Indeed, assume that  $u \in S(B_X, x_n^*, \alpha_n)$  and  $v \in S(B_Y, y_m^*, \beta_m)$ . By (4.4) we get  $\operatorname{Re} B(a, v) > 1 - \alpha + \gamma$ and using (4.3), we obtain

$$\begin{aligned} \operatorname{Re} B(u \otimes v) &= \operatorname{Re} B(u, v) = \operatorname{Re} B(a, v) - \operatorname{Re} B(a - u, v) \\ &> 1 - \alpha + \gamma - \|B\| \, \|v\| \, \|a - u\| > 1 - \alpha + \gamma - \frac{1}{n} \\ &> 1 - \alpha + \gamma - \frac{\gamma}{32} > 1 - \alpha + \frac{31\gamma}{32}. \end{aligned}$$

To proceed further, pick for each  $n, m \in \mathbb{N}$  another  $k \in \mathbb{N}$  satisfying  $1/k < \min\{\alpha_n, \beta_m\}$ . Now, we claim that

$$S\left(B_X \otimes B_Y, x_n^* \otimes y_m^*, \frac{1}{k}\right) \subseteq S^{\otimes}.$$
(4.6)

Fix  $x \otimes y \in S(B_X \otimes B_Y, x_n^* \otimes y_m^*, 1/k)$ , i.e.

$$\operatorname{Re}(x_n^* \otimes y_m^*)(x \otimes y) = \operatorname{Re}(x_n^*(x)y_m^*(y)) > 1 - \frac{1}{k}.$$

Pick  $\theta \in \mathbb{K}$  with  $|\theta| = 1$  such that  $x^*(\theta x) = \operatorname{Re} x^*(\theta x)$ ; then  $x \otimes y = \theta x \otimes \theta^{-1} y$  and

$$\operatorname{Re} x_n^*(\theta x) \cdot \operatorname{Re}(y_m^*(\theta^{-1}y)) = \operatorname{Re}(x_n^*(\theta x)y_m^*(\theta^{-1}y)) > 1 - \frac{1}{k}$$

Since  $\theta^{-1}y \in B_Y$ , we have

$$\operatorname{Re} x_n^*(\theta x) \ge \operatorname{Re} x_n^*(\theta x) \cdot \operatorname{Re}(y_m^*(\theta^{-1}y)) > 1 - \frac{1}{k} > 1 - \alpha_n,$$

which means that  $\theta x \in S(B_X, x_n^*, \alpha_n)$ . Analogously,  $\theta^{-1}y \in S(B_Y, y_m^*, \beta_m)$ . In conclusion

$$x \otimes y = \theta x \otimes \theta^{-1} y \in S^{\otimes}$$

Let  $k \in \mathbb{N}$  be such that  $4/k < \gamma/32$  and still  $1/k < \min\{\alpha_n, \beta_m\}$ . In order to finish the proof, we will show that

$$S\left(B_{X\widehat{\otimes}_{\pi}Y}, x_n^* \otimes y_m^*, \frac{1}{k^2}\right) = S_{n,m}^{k^2} \subseteq S = S(B_{X\widehat{\otimes}_{\pi}Y}, B, \alpha).$$

Indeed, 4.2 gives that

$$S\left(B_{X\widehat{\otimes}_{\pi}Y}, x_n^* \otimes y_m^*, \frac{1}{k^2}\right) \subseteq \overline{\operatorname{conv}}\left(S\left(B_X \otimes B_Y, x_n^* \otimes y_m^*, \frac{1}{k}\right)\right) + \frac{4}{k}B_{X\widehat{\otimes}_{\pi}Y}.$$
(4.7)

Pick an element  $z \in S(B_{X \widehat{\otimes}_{\pi} Y}, x_n^* \otimes y_m^*, 1/k^2)$ . By (4.7), we can write

$$z = a + \frac{4}{k}h \quad \text{with} \quad a \in \overline{\text{conv}}\left(S\left(B_X \otimes B_Y, x_n^* \otimes y_m^*, \frac{1}{k}\right)\right), \quad h \in B_{X\widehat{\otimes}_{\pi}Y}.$$

This means that we can find  $\hat{a} \in \operatorname{conv}(S(B_X \otimes B_Y, x_n^* \otimes y_m^*, 1/k))$  such that  $||a - \hat{a}|| < \gamma/32$ . By defining  $\hat{z} = \hat{a} + (4/k)h$ , it is obvious that

$$\|z - \widehat{z}\| = \|a - \widehat{a}\| < \frac{\gamma}{32}$$

In addition, by (4.5) and (4.6) we have

$$\operatorname{conv}\left(S(B_X \otimes B_Y, x_n^* \otimes y_m^*, 1/k)\right) \subseteq \left\{z \in B_{X \widehat{\otimes}_{\pi} Y} \colon \operatorname{Re} B(z) > 1 - \alpha + \frac{31\gamma}{32}\right\},\$$

because slices are convex. With this, we obtain

$$\operatorname{Re} B(\widehat{z}) = \operatorname{Re} B(\widehat{a}) + \frac{4}{k} \operatorname{Re} B(h) > 1 - \alpha + \frac{31\gamma}{32} - \frac{4}{k}.$$

Now, using the above estimations as well as (4.5) and (4.6), we get

$$\begin{split} \operatorname{Re} B(z) &= \operatorname{Re} B(z) - \operatorname{Re} B(\widehat{z}) + \operatorname{Re} B(\widehat{z}) = \operatorname{Re} B(\widehat{z}) - \operatorname{Re} B(\widehat{z} - z) \\ &> 1 - \alpha + \frac{31\gamma}{32} - \frac{4}{k} - \|B\| \, \|\widehat{z} - z\| > 1 - \alpha + \frac{31\gamma}{32} - \frac{4}{k} - \frac{\gamma}{32} \\ &= 1 - \alpha + \frac{30\gamma}{32} - \frac{4}{k} > 1 - \alpha + \frac{\gamma}{32} - \frac{4}{k} > 1 - \alpha. \end{split}$$

**Corollary 4.3.** Let X and Y be Banach spaces such that  $B_X = \overline{\text{conv}}(\text{dent } B_X)$  and  $\text{SCD}(B_Y) = B_Y$ . Then,  $\text{SCD}(B_X \otimes_{\pi Y}) = B_X \otimes_{\pi Y}$ . If additionally X and Y are separable, then  $B_X \otimes_{\pi Y}$  is an SCD set.

*Proof.* Notice that using elementary properties of projective tensor products together with the assumption, we can write

$$B_{X \widehat{\otimes}_{\pi} Y} = \overline{\operatorname{conv}}(B_X \otimes B_Y) = \overline{\operatorname{conv}}(\overline{\operatorname{conv}}(\operatorname{dent}(B_X)) \otimes \operatorname{SCD}(B_Y))$$
$$= \overline{\operatorname{conv}}(\operatorname{dent}(B_X) \otimes \operatorname{SCD}(B_Y)).$$

By Theorem 4.1,

$$\operatorname{dent}(B_X) \otimes (\operatorname{SCD}(B_Y) \setminus \{0\}) \subseteq \operatorname{SCD}(B_X_{\widehat{\otimes}_{\pi} Y}).$$

$$(4.8)$$

This in turn implies that

$$\operatorname{dent}(B_X) \otimes \operatorname{SCD}(B_Y) \subseteq \operatorname{SCD}(B_X_{\widehat{\otimes}_{\pi} Y}).$$

$$(4.9)$$

Indeed, pick  $x \otimes y \in \operatorname{dent}(B_X) \otimes \operatorname{SCD}(B_Y)$ , where  $y \neq 0$  (such elements clearly do exist). Then by (4.8) we directly obtain that  $x \otimes y \in \operatorname{SCD}(B_X \widehat{\otimes}_{\pi Y})$ . Using Corollary 2.21, we get  $0 \in \operatorname{SCD}(B_X \widehat{\otimes}_{\pi Y})$ . Therefore, picking  $x \otimes 0 \in \operatorname{dent}(B_X) \otimes \operatorname{SCD}(B_Y)$ , we have

$$x \otimes 0 = 0 \in \mathrm{SCD}(B_{X \widehat{\otimes}_{\pi} Y})$$

and consequently (4.9) holds.

As the set of SCD points of the unit ball is closed and convex by Lemma 2.6, we obtain

$$\overline{\operatorname{conv}}(\operatorname{dent}(B_X) \otimes \operatorname{SCD}(B_Y)) \subseteq \operatorname{SCD}(B_{X \widehat{\otimes}_{\pi} Y}).$$

In conclusion

$$B_{X\widehat{\otimes}_{\pi}Y} = \overline{\operatorname{conv}}(\operatorname{dent}(B_X) \otimes \operatorname{SCD}(B_Y)) \subseteq \operatorname{SCD}(B_{X\widehat{\otimes}_{\pi}Y}) \subseteq B_{X\widehat{\otimes}_{\pi}Y}.$$

If additionally X and Y are separable, so is the set  $B_{X\widehat{\otimes}_{\pi}Y}$ , hence by Lemma 2.8 we have that  $B_{X\widehat{\otimes}_{\pi}Y}$  is an SCD set.

The next result gives another sufficient condition for the elementary tensor of two SCD points to be also an SCD point.

**Theorem 4.4.** Let X and Y be Banach spaces, and let  $a \in SCD(B_X)$  and  $b \in SCD(B_Y)$ . Assume that

- (1) every operator  $T: X \to Y^*$  is compact;
- (2) b is a preserved extreme point.

Then,  $a \otimes b \in \text{SCD}(B_{X \widehat{\otimes}_{\pi} Y}).$ 

*Proof.* Let  $S_n = S(B_X, x_n^*, \alpha_n)$  and  $T_m = S(B_Y, y_m^*, \beta_m)$ , where  $||x_n^*|| = ||y_m^*|| = 1$  and  $\alpha_n, \beta_m > 0$ , be the determining sequences of slices for a and b, respectively.

Let us now define for each  $n,m,k\in\mathbb{N}$  the following slices:

$$S_{n,m}^{k} = S\Big(B_{X\widehat{\otimes}_{\pi}Y}, x_{n}^{*} \otimes y_{m}^{*}, \frac{1}{k}\Big),$$

where

$$(x_n^* \otimes y_m^*)(x \otimes y) = x_n^*(x)y_m^*(y)$$

for every  $x \in X$  and  $y \in Y$ . Note here that the function  $x_n^* \otimes y_m^*$  is bilinear and bounded, therefore  $(x_n^* \otimes y_m^*) \in (X \otimes_{\pi} Y)^*$ . Our goal is to prove that the countable collection of slices  $\{S_{n,m}^k : n, m, k \in \mathbb{N}\}$  is determining for the elementary tensor  $a \otimes b$ .

Let  $S = S(B_{X \widehat{\otimes}_{\pi} Y}, T, \alpha)$  be a slice containing the element  $a \otimes b$ , where  $T \in \mathcal{L}(X, Y^*)$  and  $\alpha > 0$ . Find  $\eta > 0$  such that

$$\operatorname{Re} T(a)(b) > 1 - \alpha + \eta.$$

Since  $a \in \{x \in B_X : \operatorname{Re} T(x)(b) > 1 - \alpha + \eta\}$  and  $a \in \operatorname{SCD}(B_X)$ , then there exists  $n \in \mathbb{N}$  such that  $S(B_X, x_n^*, \alpha_n) \subseteq \{x \in B_X : \operatorname{Re} T(x)(b) > 1 - \alpha + \eta\}.$ 

our assumption T is compact, so there are 
$$x_1, \ldots, x_p \in S(B_X, x_n^*, \alpha_n)$$
 such that

$$T(S(B_X, x_n^*, \alpha_n)) \subseteq \bigcup_{i=1}^p B\left(T(x_i), \frac{\eta}{16}\right)$$

Since,  $x_i \in \{x \in B_X : \operatorname{Re} T(x)(b) > 1 - \alpha + \eta\}$  for every  $1 \leq i \leq p$ , then

$$b \in \bigcap_{i=1}^{p} \{ y \in B_Y \colon \operatorname{Re} T(x_i)(y) > 1 - \alpha + \eta \} =: W$$

Note that W is a relatively weakly open subset of  $B_Y$  containing b, which is a preserved extreme point, hence we can find a slice S of  $B_Y$  such that  $b \in S \subseteq W$  [27]. Since  $b \in \text{SCD}(B_Y)$ , we can find  $m \in \mathbb{N}$ such that

$$S(B_Y, y_m^*, \beta_m) \subseteq S \subseteq W.$$

Consider now the following set

$$S^{\otimes} := \{ u \otimes v \colon u \in S(B_X, x_n^*, \alpha_n), \ v \in S(B_Y, y_m^*, \beta_m) \}.$$

Then, by arguing as in the proof of Theorem 4.1, one has that

$$S^{\otimes} \subseteq \left\{ z \in B_{X\widehat{\otimes}_{\pi}Y} \colon \operatorname{Re}B(z) > 1 - \alpha + \frac{15\eta}{16} \right\}.$$

$$(4.10)$$

Finally, the proof follows by repeating the arguments in the last part of the proof of Theorem 4.1, using now (4.10) instead of (4.5).

# 5. SCD POINTS IN LIPSCHITZ-FREE SPACES

Let M be a metric space with metric d and a fixed point 0. We denote by  $\operatorname{Lip}_0(M)$  the real Banach space of all Lipschitz functions  $f: M \to \mathbb{R}$  with f(0) = 0 equipped with the norm

$$||f|| := \sup\left\{\frac{|f(x) - f(y)|}{d(x,y)} : x, y \in M, \ x \neq y\right\}$$

Let  $\delta: M \to \operatorname{Lip}_0(M)^*$  be the canonical isometric embedding of M into  $\operatorname{Lip}_0(M)^*$  given by  $x \mapsto \delta_x$ , where  $\delta_x(f) = f(x)$ . The norm closed linear span of  $\delta(M)$  in  $\operatorname{Lip}_0(M)^*$  is called the *Lipschitz-free* space over M and is denoted by  $\mathcal{F}(M)$  (see [15] and [37] for the background). It is well known that  $\mathcal{F}(M)^* = \operatorname{Lip}_0(M)$ . An element in  $\mathcal{F}(M)$  of the form

$$m_{x,y} := \frac{\delta_x - \delta_y}{d(x,y)}$$

for  $x, y \in M$  with  $x \neq y$  is called a *molecule*.

The main result of the section is a characterization of SCD point of the unit ball of a Lipschitz-free space for *complete* metric spaces.

By

**Theorem 5.1.** Let M be a complete metric space and let  $\mu \in S_{\mathcal{F}(M)}$ . Then, the following are equivalent:

- (i)  $\mu \in \text{SCD}(B_{\mathcal{F}(M)});$
- (ii)  $\mu \in \overline{\operatorname{conv}}(\operatorname{dent}(B_{\mathcal{F}(M)})).$

In particular, if M is separable, then  $B_{\mathcal{F}(M)}$  is an SCD set if, and only if,  $B_{\mathcal{F}(M)} = \overline{\operatorname{conv}}(\operatorname{dent}(B_{\mathcal{F}(M)}))$ .

We need a preliminary result which is interesting by itself. Recall that a Lipschitz function  $f \in \operatorname{Lip}_0(M)$  is said to be *local* if, for every  $\varepsilon > 0$ , there exists  $u, v \in M$  with  $0 < d(u, v) < \varepsilon$  and  $\frac{f(u)-f(v)}{d(u,v)} > ||f|| - \varepsilon$ . In short, a local Lipschitz function is a function whose Lipschitz norm can be approximated by pairs of points which are arbitrarily close. The next lemma shows that only non-local functions are needed to construct a sequence of determining slices for a norm-one element of the unit ball of a Lipschitz-free space.

**Lemma 5.2.** Let M be a metric space and  $\mu \in \text{SCD}(B_{\mathcal{F}(M)}) \cap S_{\mathcal{F}(M)}$ . Assume that  $S_n = S(B_{\mathcal{F}(M)}, f_n, \alpha_n)$  is a determining sequence for  $\mu$ . Set

$$I := \{ n \in \mathbb{N} \colon f_n \text{ is not local } \}.$$

Then,  $\{S_n : n \in I\}$  is determining for  $\mu$ .

*Proof.* Assume, by contradiction, that  $\{S_n : n \in I\}$  is not determining for  $\mu$ . Consequently, for every  $n \in I$  there exist  $x_n \in S_n$  satisfying that  $\mu \notin \overline{\text{conv}}(\{x_n : n \in I\})$ . By the Hahn-Banach theorem, there exists  $f \in S_{\text{Lip}_0(M)}$  and  $\alpha > 0$  such that

$$f(\mu) > \alpha > \sup\{f(z) \colon z \in \overline{\operatorname{conv}}\{x_n \colon n \in I\}\}.$$

Furthermore, we can find  $0 < \beta < \alpha$  satisfying

$$f(\mu) > \alpha > \beta > f(x_n)$$

for every  $n \in I$ . Let us also find  $\varepsilon, \eta > 0$  small enough so that

$$(1-\beta)(\eta+2\varepsilon)+\eta<\alpha-\beta.$$
(5.1)

Now, set  $J = \mathbb{N} \setminus I = \{n \in \mathbb{N} : f_n \text{ is local }\}$  and write  $J = \{k_n : n \in \mathbb{N}\}$  (admitting that  $k_n$  may be eventually constant if J is finite). Choose a sequence  $(\varepsilon_n)$  of positive real numbers such that  $1 - \varepsilon < \prod_{n=1}^{\infty} (1 - \varepsilon_n)$ . Our aim is to construct, by induction, a sequence  $x_{k_n} \in S_{k_n}$  with the property that

$$\left\|\mu + \sum_{i=1}^{n} \lambda_i x_{k_i}\right\| > \prod_{i=1}^{n} (1 - \varepsilon_i) \left(1 + \sum_{i=1}^{n} |\lambda_i|\right)$$
(5.2)

for every  $n \in \mathbb{N}$  and  $\lambda_1, \ldots, \lambda_n \in \mathbb{R}$ . Let us construct  $x_{k_1}$ . Since  $f_{k_1}$  is local, we can find a sequence of points  $u_j, v_j \in M$  with  $0 < d(u_j, v_j) \to 0$  such that  $f_{k_1}(m_{u_j, v_j}) = \frac{f_{k_1}(u_j) - f_{k_1}(v_j)}{d(u_j, v_j)} > 1 - \alpha_{k_1}$  or, in other words, that  $m_{u_j, v_j} \in S_{k_1}$  holds for every  $j \in \mathbb{N}$ . Since  $d(u_j, v_j) \to 0$ , [20, Theorem 2.6] implies that

$$\|\nu + m_{u_j,v_j}\| \to 1 + \|\nu\|$$

holds for every  $\nu \in \mathcal{F}(M)$ . Consequently, we can find  $j \in \mathbb{N}$  big enough so that  $x_{k_1} := m_{u_j, v_j}$  satisfies

$$\|\mu \pm x_{k_1}\| > 2 - \frac{\varepsilon_1}{2}$$

Notice that an application of [21, Lemma 2.2] secures us that

$$\|\mu + \lambda x_{k_1}\| > (1 - \varepsilon_1)(1 + |\lambda|)$$

holds for every  $\lambda \in \mathbb{R}$ , hence equation (5.2) for  $k_1$  is satisfied.

Now assume the inductive step that  $x_{k_1}, \ldots x_{k_n}$  has been constructed with the desired property, and let us construct  $x_{k_{n+1}}$ . In order to do so, let  $Y := \operatorname{span}\{\mu, x_{k_1}, \ldots, x_{k_n}\}$ , which is a finite-dimensional subspace of  $\mathcal{F}(M)$ . Since  $S_Y$  is compact as Y is finite-dimensional, we can select a finite set  $F \subseteq S_Y$ which is an  $\frac{\varepsilon_{n+1}}{2}$ -net for  $S_Y$ . Once again the condition that  $f_{k_{n+1}}$  is local allows us to guarantee the existence of a sequence  $m_{u_i,v_i} \in S_{k_{n+1}}$  such that  $d(u_j, v_j) \to 0$ . Since

$$\|\nu + m_{u_i,v_i}\| \to 2$$

for every  $\nu \in F$ , again by [20, Theorem 2.6], we can find  $j \in \mathbb{N}$  large enough so that, if we select  $x_{k_{n+1}} := m_{u_j,v_j}$ , we have  $\|\nu \pm x_{k_{n+1}}\| > 2 - \frac{\varepsilon_{n+1}}{2}$  for every  $\nu \in F$  (since F is finite). As F is an  $\varepsilon_{n+1}/2$ -net, it follows that the inequality

$$\|\nu \pm x_{k_{n+1}}\| > 2 - \varepsilon_{n+1}$$

holds for every  $\nu \in S_Y$ . From here it can be proved that

$$\|\nu + \lambda x_{k_{n+1}}\| > (1 - \varepsilon_{n+1})(\|\nu\| + |\lambda|)$$

holds for every  $\nu \in Y$  and every  $\lambda \in \mathbb{R}$ . Let us prove that  $x_{k_1}, \ldots, x_{k_{n+1}}$  satisfy the desired condition. In order to do so, select  $\lambda_1, \ldots, \lambda_{n+1} \in \mathbb{R}$ . Observe that, since  $\mu + \sum_{i=1}^n \lambda_i x_{k_i} \in Y$ , we obtain

$$\left\| \mu + \sum_{i=1}^{n+1} \lambda_i x_{k_i} \right\| \ge (1 - \varepsilon_{n+1}) \left( \left\| \mu + \sum_{i=1}^{n} \lambda_i x_{k_i} \right\| + |\lambda_{n+1}| \right).$$

Now, the inductive step implies  $\|\mu + \sum_{i=1}^{n} \lambda_i x_{k_i}\| \ge \prod_{i=1}^{n} (1 - \varepsilon_i)(1 + \sum_{i=1}^{n} |\lambda_i|)$ , so

$$\left\| \mu + \sum_{i=1}^{n+1} \lambda_i x_{k_i} \right\| \ge (1 - \varepsilon_{n+1}) \left( \prod_{i=1}^n (1 - \varepsilon_i) \left( 1 + \sum_{i=1}^n |\lambda_i| \right) + |\lambda_{n+1}| \right)$$
$$\ge (1 - \varepsilon_{n+1}) \left( \prod_{i=1}^n (1 - \varepsilon_i) \left( 1 + \sum_{i=1}^n |\lambda_i| \right) + \prod_{i=1}^n (1 - \varepsilon_i) |\lambda_{n+1}| \right)$$
$$= \prod_{i=1}^{n+1} (1 - \varepsilon_i) \left( 1 + \sum_{i=1}^{n+1} |\lambda_i| \right)$$

which finishes the proof of the construction of  $x_{k_n}$ .

As we have  $x_n \in S_n$  for every  $n \in \mathbb{N}$  and  $\{S_n : n \in \mathbb{N}\}$  is determining for  $\mu$ , we conclude  $\mu \in \overline{\operatorname{conv}}(\{x_n : n \in \mathbb{N}\})$ . Consequently, we can find  $(\lambda_n) \subseteq [0, 1]$  with  $\sum_{n=1}^{\infty} \lambda_n = 1$  and only finitely many  $\lambda_n$  being non-zero, satisfying

$$\left\|\mu - \sum_{n=1}^{\infty} \lambda_n x_n\right\| < \eta.$$
(5.3)

If we evaluate at f, we obtain

$$\eta > f\left(\mu - \sum_{n=1}^{\infty} \lambda_n x_n\right) = f(\mu) - \sum_{n=1}^{\infty} \lambda_n f(x_n) > \alpha - \sum_{n \in I} \lambda_n f(x_n) - \sum_{n \in J} \lambda_n f(x_n)$$
$$\geqslant \alpha - \beta \sum_{n \in I} \lambda_n - \sum_{n \in J} \lambda_n = \alpha - \beta \left(1 - \sum_{n \in J} \lambda_n\right) - \sum_{n \in J} \lambda_n$$
$$= \alpha - \beta - (1 - \beta) \sum_{n \in J} \lambda_n,$$

hence

$$\sum_{n \in J} \lambda_n > \frac{\alpha - \beta - \eta}{1 - \beta}.$$
(5.4)

On the other hand, by the construction of  $x_n, n \in J$ , we have from (5.2) that

$$\left\| \mu - \sum_{n \in J} \lambda_n x_n \right\| > (1 - \varepsilon) \Big( 1 + \sum_{n \in J} \lambda_n \Big).$$

These estimations imply that

$$\eta > \left\| \mu - \sum_{n=1}^{\infty} \lambda_n x_n \right\| \ge \left\| \mu - \sum_{n \in J} \lambda_n x_n \right\| - \left\| \sum_{n \in I} \lambda_n x_n \right\|$$
$$> (1 - \varepsilon) \left( 1 + \sum_{n \in J} \lambda_n \right) - \sum_{n \in I} \lambda_n = (1 - \varepsilon) \left( 1 + \sum_{n \in J} \lambda_n \right) - \left( 1 - \sum_{n \in J} \lambda_n \right)$$
$$= 2 \sum_{n \in J} \lambda_n - \varepsilon \left( 1 + \sum_{n \in J} \lambda_n \right) \ge 2 \sum_{n \in J} \lambda_n - 2\varepsilon > 2 \frac{\alpha - \beta - \eta}{1 - \beta} - 2\varepsilon$$
$$> \frac{\alpha - \beta - \eta}{1 - \beta} - 2\varepsilon.$$

This, in turn, claims that  $\alpha - \beta < (1 - \beta)(\eta + 2\varepsilon) + \eta$ , which disagrees with (5.1). This contradiction finishes the proof.

We are now ready to present the pending proof.

*Proof of Theorem 5.1.* The proof of (ii) $\Rightarrow$ (i) is immediate since every denting point is SCD (Example 2.10) and the set of SCD points of a set is closed and convex (Lemma 2.6).

For the proof of (i) $\Rightarrow$ (ii), assume that  $\mu \in \text{SCD}(B_{\mathcal{F}(M)})$  and take a determining sequences of slices  $S_n = S(B_{\mathcal{F}(M)}, f_n, \alpha_n)$ . By Lemma 5.2 we can assume with no loss of generality that  $f_n \in S_{\text{Lip}_0(M)}$  is non-local for every  $n \in \mathbb{N}$ . Given  $n \in \mathbb{N}$ , since M is complete and  $f_n$  is non-local, [36, Proposition 2.7] implies that there exists a denting point  $x_n$  of  $B_{\mathcal{F}(M)}$  with  $x_n \in S_n$  for every  $n \in \mathbb{N}$ . Since  $\{S_n : n \in \mathbb{N}\}$  is determining, we get that  $\mu \in \overline{\text{conv}}(\{x_n : n \in \mathbb{N}\}) \subseteq \overline{\text{conv}}(\text{dent}(B_{\mathcal{F}(M)}))$ , as requested.

The final remark follows since  $\mathcal{F}(M)$  is separable because M is separable.

By additionally requiring the underlying metric space of the Lipschitz-free space to be compact and taking into account the proof of Theorem 5.1, we can prove the following.

**Theorem 5.3.** Let M be a compact space and let  $\mu \in S_{\mathcal{F}(M)}$ . The following are equivalent:

- (i)  $\mu \in \text{SCD}(B_{\mathcal{F}(M)});$
- (ii)  $\mu \in \overline{\operatorname{conv}}(\operatorname{str-exp}(B_{\mathcal{F}(M)})).$

In particular,  $B_{\mathcal{F}(M)}$  is an SCD set if, and only if,  $B_{\mathcal{F}(M)} = \overline{\operatorname{conv}}(\operatorname{str-exp}(B_{\mathcal{F}(M)}))$ .

*Proof.* The proof of  $(ii) \Rightarrow (i)$  is immediate since every strongly exposed point is denting and because the set of SCD points is closed and convex.

For the proof of (i) $\Rightarrow$ (ii), assume that  $\mu \in \text{SCD}(B_{\mathcal{F}(M)})$  and take a determining sequence of slices  $S_n = S(B_{\mathcal{F}(M)}, f_n, \alpha_n)$ . By Lemma 5.2 we can assume with no loss of generality that  $f_n \in S_{\text{Lip}_0(M)}$  is non-local for every  $n \in \mathbb{N}$ . Given  $n \in \mathbb{N}$ , since M is compact and  $f_n$  is non-local, [6, Lemma 3.13] implies that  $f_n$  attains its norm at a strongly exposed point  $x_n$  of  $B_{\mathcal{F}(M)}$  which clearly belongs to  $S_n$ .

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Since  $\{S_n : n \in \mathbb{N}\}\$  is determining for  $\mu$ , we get that  $\mu \in \overline{\operatorname{conv}}(\{x_n : n \in \mathbb{N}\}) \subseteq \overline{\operatorname{conv}}(\operatorname{str-exp}(B_{\mathcal{F}(M)}))$ , as requested.

The last statement follows since  $\mathcal{F}(M)$  is separable, because M is separable as being a compact metric space.

### 6. Applications

In this section, we aim to establish some connections between SCD points and some related properties.

We begin by studying the inheritance of Daugavet property to its subspaces. It is known that if X is a Banach space with the Daugavet property and Y is a subspace of X, then Y has the Daugavet property whenever Y is an M-ideal in X [26, Proposition 2.10] or  $(X/Y)^*$  is separable [26, Theorem 2.14]. We now enlarge this list by proving that the subspace Y also inherits the Daugavet property whenever  $0 \in B_{X/Y}$  is an SCD point in every convex set  $C \subseteq B_{X/Y}$  containing it.

**Theorem 6.1.** Let X be a Banach space and let Y be a subspace of X. Assume that X has the Daugavet property and that  $0 \in X/Y$  satisfies that 0 is an SCD point in any convex subset  $C \subseteq B_{X/Y}$  containing it. Then, Y has the Daugavet property.

Proof. Let  $y_0 \in S_Y$ ,  $\varepsilon > 0$  and let  $S = S(B_Y, y^*, \alpha)$  be a slice of  $B_Y$  with  $y^* \in S_{X^*}$  and  $y^*|_Y \in S_{Y^*}$ . Let us find  $y \in S$  such that  $||y_0 - y|| > 2 - \varepsilon$ . In such a case, we will have that Y has the Daugavet property by [26, Lemma 2.2]. In order to do so, write  $T = S(B_X, y^*, \beta)$  for some  $0 < \beta < \alpha$ . Let  $p: X \longrightarrow X/Y$  be the quotient mapping and notice that, since  $T \cap S_Y \neq \emptyset$ , we can guarantee that 0 belongs to the convex subset p(T) of  $B_{X/Y}$ . By the assumption,  $0 \in \text{SCD}(p(T))$ , so we can find a determining sequence of slices  $S_n \subseteq p(T)$  for 0. Now, observe that the sets

$$W_n := T \cap p^{-1}(S_n) \qquad (n \in \mathbb{N})$$

are non-empty, weakly open, and contained in  $B_X$ .

Since X has the Daugavet property, then for any  $\delta > 0$ , there exists a sequence  $(x_n)$  with  $x_n \in W_n$  for every  $n \in \mathbb{N}$ , satisfying that

$$\left\| y_0 - \sum_{n=1}^{\infty} \lambda_n x_n \right\| > 2 - \delta \tag{6.1}$$

for every  $(\lambda_n) \in S_{\ell_1}$ . Indeed, this can be seen using the analogue of [26, Lemma 2.8] for relative weakly open set which holds applying in the proof [34, Lemma 3] instead of [26, Lemma 2.1 (a)].

Since  $x_n \in W_n = T \cap p^{-1}(S_n)$ , we clearly have that  $p(x_n) \in S_n$ . Taking into account that the sequence  $\{S_n : n \in \mathbb{N}\}$  is determining for  $0 \in p(T)$ , there exists  $(\lambda_n) \in S_{\ell_1}$  such that

$$\left\|\sum_{n=1}^{\infty}\lambda_n p(x_n)\right\|_{X/Y} < \delta.$$

This means that there exists  $z \in Y$  such that

$$\left\|z-\sum_{n=1}^{\infty}\lambda_n x_n\right\|<\delta.$$

Observe that  $||z|| \leq 1+\delta$ . Furthermore, (6.1) implies that  $||\sum_{n=1}^{\infty} \lambda_n x_n|| > 1-\delta$  and thus  $||z|| \geq 1-2\delta$ . Finally set  $y = \frac{z}{||z||}$ . It is clear that  $||y-z|| \leq 2\delta$  so  $||y-\sum_{n=1}^{\infty} \lambda_n x_n|| \leq 3\delta$  by the triangle inequality. Let us prove that y fits our requirements. Firstly, since  $x_n \in W_n \subseteq T$  and T is convex, we infer

 $\sum_{n=1}^{\infty} \lambda_n x_n \in T$ , so Re  $y^*(\sum_{n=1}^{\infty} \lambda_n x_n) > 1 - \beta$ . Hence Re  $y^*(y) > 1 - \beta - 3\delta$ . On the other hand, (6.1) implies

 $||y_0 - y|| > 2 - 4\delta.$ 

Consequently,  $y \in S(B_Y, y^*, \alpha)$  and  $||y_0 - y|| > 2 - \varepsilon$  as soon as  $4\delta < \varepsilon$  and  $\beta + 3\delta < \alpha$ , which finishes the proof.

The following particular case is specially interesting and extends some of the previously known cases exposed before. If follows directly from Theorem 6.1 by using Example 2.17.

**Corollary 6.2.** Let X be a Banach space with the Daugavet property and let Y be a subspace of X. If X/Y is strongly regular (in particular, CPCP or RNP), then Y has the Daugavet property.

Our next aim is to show that separable Banach spaces for which every convex series of slices of  $B_X$  intersects the sphere, must contain an isomorphic copy of  $\ell_1$ .

**Theorem 6.3.** Let X be a Banach space such that every convex series of slices of  $B_X$  intersects the unit sphere. Then,  $SCD(B_X) = \emptyset$ . If, moreover, X is separable, then X is not an SCD space and, in particular, it contains an isomorphic copy of  $\ell_1$ .

Proof. Assume that every convex series of slices of  $B_X$  intersects the sphere. This means that for every sequence of slices  $\{S_n : n \in \mathbb{N}\}$  of  $B_X$  there are  $x_n \in S_n \cap S_X$  and  $x^* \in S_{X^*}$  such that  $x^*(x_n) = 1$  for every  $n \in \mathbb{N}$  [7, Lemma 2.8]. In particular,  $0 \notin \overline{\text{conv}}(\{x_n : n \in \mathbb{N}\})$ . This implies that  $0 \notin \text{SCD}(B_X)$ , hence  $\text{SCD}(B_X) = \emptyset$  by Corollary 2.21. If moreover X is separable, it cannot be an SCD space, so X contains a  $\ell_1$ -sequence (see [4, Theorem 2.22]).

Some comments on the above result are pertinent.

Remark 6.4. We collect here some comments on Theorem 6.3:

- (1) It also follows from the above theorem that if every convex series of slices of  $B_X$  intersects the unit sphere, then  $B_X$  does not contain strongly regular points (by using Proposition 2.16), but this follows directly from the hypothesis and it does not need Theorem 6.3.
- (2) The assumption on convex series of slices intersecting the sphere cannot be relaxed to (finite) convex combinations of slices intersecting the unit sphere. Indeed, the separable Banach space  $c_0$  has the property that every finite convex combination of slices of  $B_X$  intersects the unit sphere [2, Example 3.3], but it does not contain  $\ell_1$ .
- (3) Note that the second part of Theorem 6.3 cannot be extended to non-separable Banach spaces. Indeed, if I is uncountable, then every convex series of slices of  $B_{c_0(I)}$  intersects the unit sphere [7, Proposition 3.6 and Example 6.2], but  $c_0(I)$  does not contain  $\ell_1$ .

Our final application shows the validity of the Daugavet equation (DE) (i.e.  $|| \operatorname{Id} + T || = 1 + ||T||$ ) for those operators T on a Banach space with the Daugavet property for which one can almost reach the norm or T using SCD points of  $T(B_X)$ . It is actually the pointwise version of [4, Proposition 5.8].

**Theorem 6.5.** Let X be a Banach space with the Daugavet property and  $T \in \mathcal{L}(X, X)$ . Suppose that for every  $\varepsilon > 0$  there exists an element  $Tx \in \text{SCD}(T(B_X))$  such that  $||Tx|| > ||T|| - \varepsilon$ . Then, T satisfies (DE).

*Proof.* Let us first introduce some notation. We denote  $K(X^*)$  as the intersection of  $S_{X^*}$  with the weak\*-closure in  $X^*$  of  $ext(B_{X^*})$ , which is a (James) boundary for X (as it contains  $ext(B_{X^*})$ ). Secondly, for a slice S of  $B_X$  and  $\varepsilon > 0$ , write

$$D(S,\varepsilon) = \{y^* \in K(X^*) \colon S \cap \overline{\operatorname{conv}}(S(B_X, y^*, \varepsilon)) \neq \emptyset\}.$$

Assume now, without loss of generality, that ||T|| = 1. By assumption, we can pick  $x \in B_X$  such that Tx is an SCD point of  $T(B_X)$  and

$$||Tx|| > 1 - \frac{\varepsilon}{2}.$$

Let  $\{S_n : n \in \mathbb{N}\}$  be a determining sequence of slices of  $T(B_X)$  for Tx, and observe that  $T^{-1}(S_n) \cap B_X$ are slices of  $B_X$ . Since  $K(X^*)$  is a boundary for X and it is balanced, one can find  $y_0^* \in K(X^*)$  so that Re  $y_0^*(Tx) = ||Tx||$ , which in particular, implies that Re  $y_0^*(Tx) > 1 - \varepsilon/2$ . By [4, Proposition 4.3 (v)], the set  $\bigcap_{n \in \mathbb{N}} D(T^{-1}(S_n) \cap B_X, \varepsilon/2)$  is weak\*-dense in  $K(X^*)$ . Therefore, we are able to find an element  $y^* \in \bigcap_{n \in \mathbb{N}} D(T^{-1}(S_n) \cap B_X, \varepsilon/2)$  satisfying

$$\left|\operatorname{Re}(y^* - y_0^*)(Tx)\right| < \frac{\varepsilon}{2}.$$

Utilizing the estimations derived above, we obtain

$$\operatorname{Re} y^{*}(Tx) = \operatorname{Re} y_{0}^{*}(Tx) + \operatorname{Re}(y^{*} - y_{0}^{*})(Tx) > 1 - \frac{\varepsilon}{2} - \frac{\varepsilon}{2} = 1 - \varepsilon,$$

hence  $Tx \in S(B_X, y^*, \varepsilon)$ .

We wish now to use the fact that Tx is an SCD point for  $T(B_X)$ . In order to do so, observe that for each  $n \in \mathbb{N}$ 

$$\overline{\operatorname{conv}}(S(B_X, y^*, \varepsilon)) \cap T^{-1}(S_n) \neq \emptyset$$

by the definition of the set  $D(T^{-1}(S_n) \cap B_X, \varepsilon/2)$ . This means that

$$T\Big(\overline{\operatorname{conv}}\big(S(B_X, y^*, \varepsilon)\big)\Big) \cap S_n \neq \emptyset$$

for every  $n \in \mathbb{N}$ , and since  $\{S_n : n \in \mathbb{N}\}$  is determining for Tx, we infer that

$$Tx \in \overline{\operatorname{conv}}\Big(T\Big(\overline{\operatorname{conv}}\big(S(B_X, y^*, \varepsilon)\big)\Big)\Big) = T\Big(\operatorname{conv}\big(S(B_X, y^*, \varepsilon)\big)\Big).$$

This enables us to find

$$z \in T\Big(\operatorname{conv}\left(S(B_X, y^*, \varepsilon)\right)\Big)$$
 such that  $||Tx - z|| < \varepsilon$ ,

which, in particular, implies that

$$\varepsilon > \operatorname{Re} y^*(Tx - z) = \operatorname{Re} y^*(Tx) - \operatorname{Re} y^*(z)$$

and, therefore,

$$\operatorname{Re} y^*(z) > \operatorname{Re} y^*(Tx) - \varepsilon > 1 - \varepsilon - \varepsilon = 1 - 2\varepsilon.$$
(6.2)

Notice that z can be represented as

$$z = T\left(\sum_{n=1}^{k} \lambda_n x_n\right) = \sum_{n=1}^{k} \lambda_n T(x_n),$$

where  $x_n \in S(B_X, y^*, \varepsilon)$ ,  $\lambda_n \ge 0$  for n = 1, ..., k, and  $\sum_{n=1}^k \lambda_k = 1$ . It follows from (6.2) that there exists  $n_0 \in \{1, ..., k\}$  such that

$$\operatorname{Re} y^*(T(x_{n_0})) > 1 - 2\varepsilon$$

Since  $x_{n_0} \in S(B_X, y^*, \varepsilon)$ , we also have

$$\operatorname{Re} y^* \left( x_{n_0} + T(x_{n_0}) \right) > 2 - 3\varepsilon$$

and, consequently,

$$\|\mathrm{Id} + T\| \ge \|x_{n_0} + T(x_{n_0})\| > \mathrm{Re}\, y^* (x_{n_0} + T(x_{n_0})) > 2 - 3\varepsilon.$$

Since  $\varepsilon$  was arbitrary, the desired result holds.

Let us give an interesting particular case, which is related to [4, Corollary 5.15].

**Corollary 6.6.** Let X be a Banach space with the Daugavet property and let  $T \in \mathcal{L}(X, X)$ . Suppose that  $T(B_X)$  is contained in the closed convex hull of the set of strongly regular points of  $\overline{T(B_X)}$  (in particular, if  $T(B_X) \subset \overline{\operatorname{conv}}(\operatorname{dent}(\overline{T(B_X)}))$ ). Then, T satisfies (DE).

*Proof.* As strongly regular points are SCD (Proposition 2.16), and the set of SCD points is closed and convex (Lemma 2.6), it follows that  $T(B_X) \subset \text{SCD}(\overline{T(B_X)})$ . By Lemma 2.7, it follows that  $T(B_X) = \text{SCD}(T(B_X))$ . The result now follows from Theorem 6.5.

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